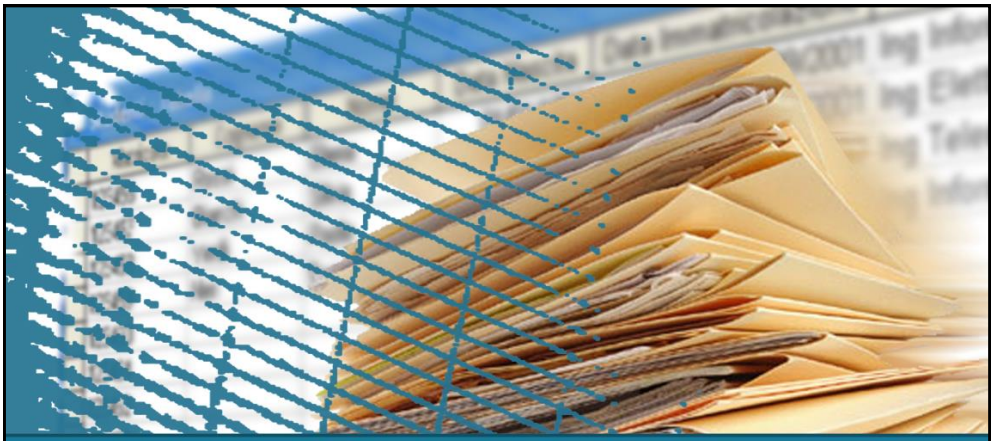


Database Management Systems

Introduction to the course

DBMG 1

Transaction processing

- On Line Transaction Processing (OLTP)
  - Traditional DBMS usage
- Characterized by
  - snapshot of current data values
  - detailed data, relational representation
  - structured, repetitive operations
  - read/write access to few records
  - short transactions
  - isolation, reliability, and integrity are critical (ACID)
  - database size ≈ 100MB-GB

DBMG 2

Analytical processing

- On Line Analytical Processing (OLAP)
  - Decision support applications
- Characterized by
  - "historical" data
  - consolidated, integrated data
  - ad hoc applications
  - read access to millions of records
  - complex queries
  - consistency before and after periodical loads
  - database size ≈ 100GB-TB

DBMG 3

Course content

- First part (weeks 1-7)
  - DBMS server technology
  - SQL Triggers
  - Distributed databases
- Second part (weeks 8-14)
  - Data warehouse design
  - OLAP analysis
  - Data mining

DBMG 4

Books

- Course books
  - Atzeni, Ceri, Fraternali, Paraboschi, Torlone, *Basi di dati – Architetture e linee di evoluzione*, McGraw Hill, 2007
  - Atzeni, Ceri, Paraboschi, Torlone, *Database systems*, McGraw Hill, 1999
  - Golfarelli, Rizzi, *Data warehouse: teoria e pratica della progettazione*, McGraw-Hill, 2006
  - Tan, Steinbach, Kumar, *Introduction to data mining*, Pearson, 2006

DBMG 5

Books

- Other books
  - Ramakrishnan, Gehrke, *Database Management Systems*, McGraw-Hill, 2004
  - Kimball e altri, *several books and white papers on data warehouse design methodologies and case studies*, Wiley
  - Han, Kamber, *Data mining: concepts and techniques*, Morgan Kaufmann, 2006

DBMG 6

Database Management Systems

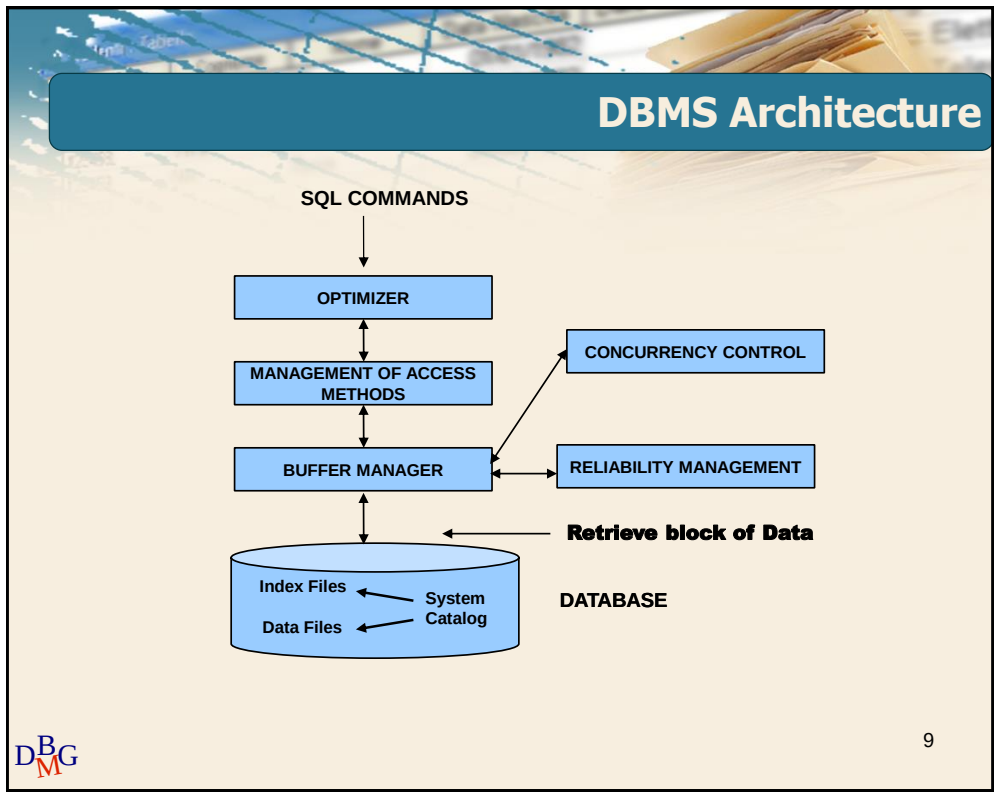
Introduction to DBMS

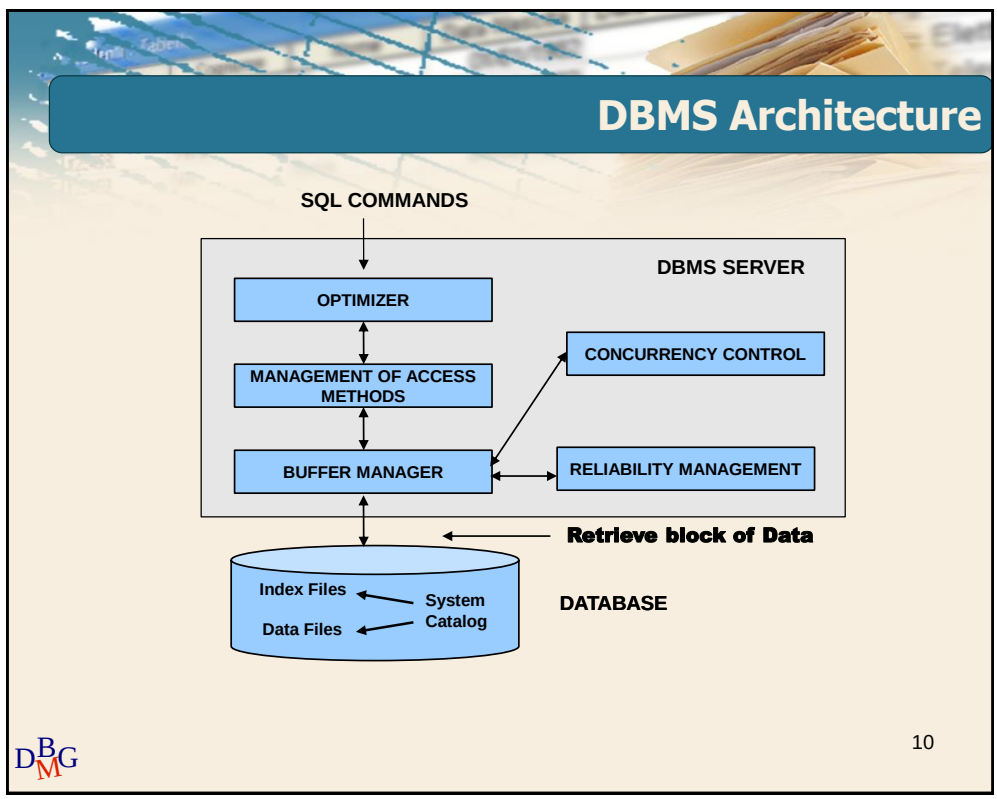
DBMG 7

Introduction to DBMS

- Data Base Management System (DBMS)
  - A software package designed to store and manage databases
- We are interested in internal mechanisms of a DBMS providing services to applications
  - Useful for making the right design choices
    - System configuration
    - Physical design of applications
  - Some services are becoming available also in operating systems

DBMG 8


DBMS Architecture
SQL COMMANDS
OPTIMIZER
MANAGEMENT OF ACCESS METHODS
BUFFER MANAGER
CONCURRENCY CONTROL
RELIABILITY MANAGEMENT
Retrieve block of Data
Index Files
Data Files
System Catalog
DATABASE
9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10

DBMS Components

- Optimizer
  - It selects the appropriate execution strategy for accessing data to answer queries
  - It receives in input a SQL instruction (DML)
  - It executes lexical, syntactic, and semantic parsing and detects (some) errors
  - It transforms the query in an internal representation (based on relational algebra)
  - It selects the "right" strategy for accessing data
- This component guarantees the *data independence* property in the relational model

DBMG 11

DBMS Components

- Access Method Manager
  - It performs physical access to data
  - It implements the strategy selected by the optimizer

DBMG 12

DBMS Components

- Buffer Manager
  - It manages page transfer from disk to main memory and vice versa
  - It manages the main memory portion that is pre-allocated to the DBMS
    - e.g., Oracle SGA
- The memory block pre-allocated to the DBMS is *shared* among many applications

DBMG 13

DBMS Components

- Concurrency Control
  - It manages concurrent access to data
    - Important for write operations
  - It guarantees that applications do not interfere with each other, thus yielding consistency problems

DBMG 14

DBMS Components

- Reliability Manager
  - It guarantees correctness of the database content when the system crashes
  - It guarantees atomic execution of a transaction (sequence of operations)
  - It exploits auxiliary structures (log files) to recover the correct database state after a failure

DBMG 15

Transaction

- A *transaction* is a logical unit of work performed by an application
  - It is a sequence of one or more SQL instructions, performing read and write operations on the database
- It is characterized by
  - Correctness
  - Reliability
  - Isolation

DBMG 16

Transaction example: Bank Tranfer

- The following transaction moves 100 euro from account xxx to account yyy

```
UPDATE ACCOUNTS
SET Balance = Balance - 100
WHERE Account_Number = xxx

UPDATE ACCOUNTS
SET Balance = Balance + 100
WHERE Account_Number = yyy
```

DBMG 17

Transaction delimiters

- Transaction start
  - Typically implicit
  - First SQL instruction
    - At the beginning of a program
    - After the end of the former transaction
- Transaction end
  - COMMIT: correct end of a transaction
  - ROLLBACK: end with error
    - The database state goes back to the state at the beginning of the transaction

DBMG 18

Transaction end

- 99.9% of transactions commit
- Remaining transactions rollback
  - Rollback is required by the transaction (suicide)
  - Rollback is required by the system (murder)

DBMG 19

Transaction properties

- ACID properties of transactions
  - **A**tomicity
  - **C**onsistency
  - **I**solation
  - **D**urability

DBMG 20

Atomicity

- A transaction cannot be divided in smaller units
  - It is *not* possible to leave the database in a intermediate state of execution
- Guaranteed by
  - *Undo.* The system undoes all the work performed by the transaction up to the current point
    - It is used for rollback
  - *Redo.* The system redoes all work performed by committed transactions
    - It is used to guarantee transaction commit in presence of failure

DBMG 21

Consistency

- A transaction execution should not violate integrity constraints on a database
  - Enforced by defining integrity constraints in the database schema (Create table, ….)
    - Primary key
    - Referential Integrity (Foreign key)
    - Domain Constraints
    - …
  - When a violation is detected, the system may
    - Rollback the transaction
    - Automatically correct the violation

DBMG 22

Isolation

- The execution of a transaction is *independent* of the concurrent execution of other transactions
  - Enforced by the Concurrency Control block of the DBMS

DBMG 23

Durability

- The effect of a committed transaction *is not lost* in presence of failures
  - It guarantees the reliability of the DBMS
  - Enforced by the Reliability Manager block of the DBMS

DBMG 24