

Physical Design

Physical Design

- Workload Characterization:
 - Queries with aggregates that require access to a large portion of each table
 - Read-only access
 - Periodic update of data with eventual rebuilding of access physical structures (indexes, views, etc.)
- Physical Structures
 - Non-traditional types of indexes
 - Bitmap indexes, Join indexes, Bitmapped join indexes
 - B⁺-tree index is not well-suited for:
 - Attributes with low cardinality domain
 - Queries with low selectivity
 - Materialized views:
 - Require the presence of an optimizer able to exploit them

Physical Design

- Optimizer characteristics
 - Must consider statistics while defining data access strategy (cost based)
 - Capability of aggregate navigation
- Physical design procedure
 - Selection of suited data structures to support the most frequent queries (or the most relevant)
 - Choice of structures that contribute to improve more queries at a time
 - Constraints:
 - Disk space
 - Available time for updating data

Physical Design

- Tuning:
 - *A posteriori* variation of support physical structures
 - Requires tools for workload monitoring
 - Often required for OLAP applications
- Parallelism
 - Data fragmentation
 - Queries parallelization
 - inter-query
 - intra-query
 - Join and Group By operations suitable to parallel execution

Physical Access Structures

- Physical access structures describe how data is stored on disk to provide efficient query execution
 - SQL select, update, ...
- In relational systems
 - Physical data storage
 - Sequential structures (heap file, ordered sequential structure)
 - Hash structures
 - Indexing to increase access efficiency
 - Tree structures (B-Tree, B⁺-Tree)
 - Unclustered hash index
 - Bitmap index

Heap file

- Tuples are sequenced in *insertion order*
 - insert is typically an *append* at the end of the file
- *All* the space in a block is completely exploited before starting a new block
- Delete or update may cause wasted space
 - Tuple deletion may leave unused space
 - Updated tuple may not fit if new values have larger size
- Sequential reading/writing is very efficient
- Frequently used in relational DBMS
 - jointly with unclustered (secondary) indices to support search and sort operations

Ordered sequential structures

- The order in which tuples are written depends on the value of a given key, called *sort key*
 - A sort key may contain one or more attributes
 - the sort key may be the primary key
- Appropriate for
 - Sort and group by operations on the sort key
 - Search operations on the sort key
 - Join operations on the sort key
 - when sorting is used for join

Ordered sequential structures

- Problem
 - preserving the sort order when inserting new tuples
 - it may also hold for update
- Solution
 - Leaving a percentage of free space in each block during table creation
 - On insertion, dynamic (re)sorting in main memory of tuples into a block
- Alternative solution
 - Overflow file containing tuples which do not fit into the correct block

Ordered sequential structures

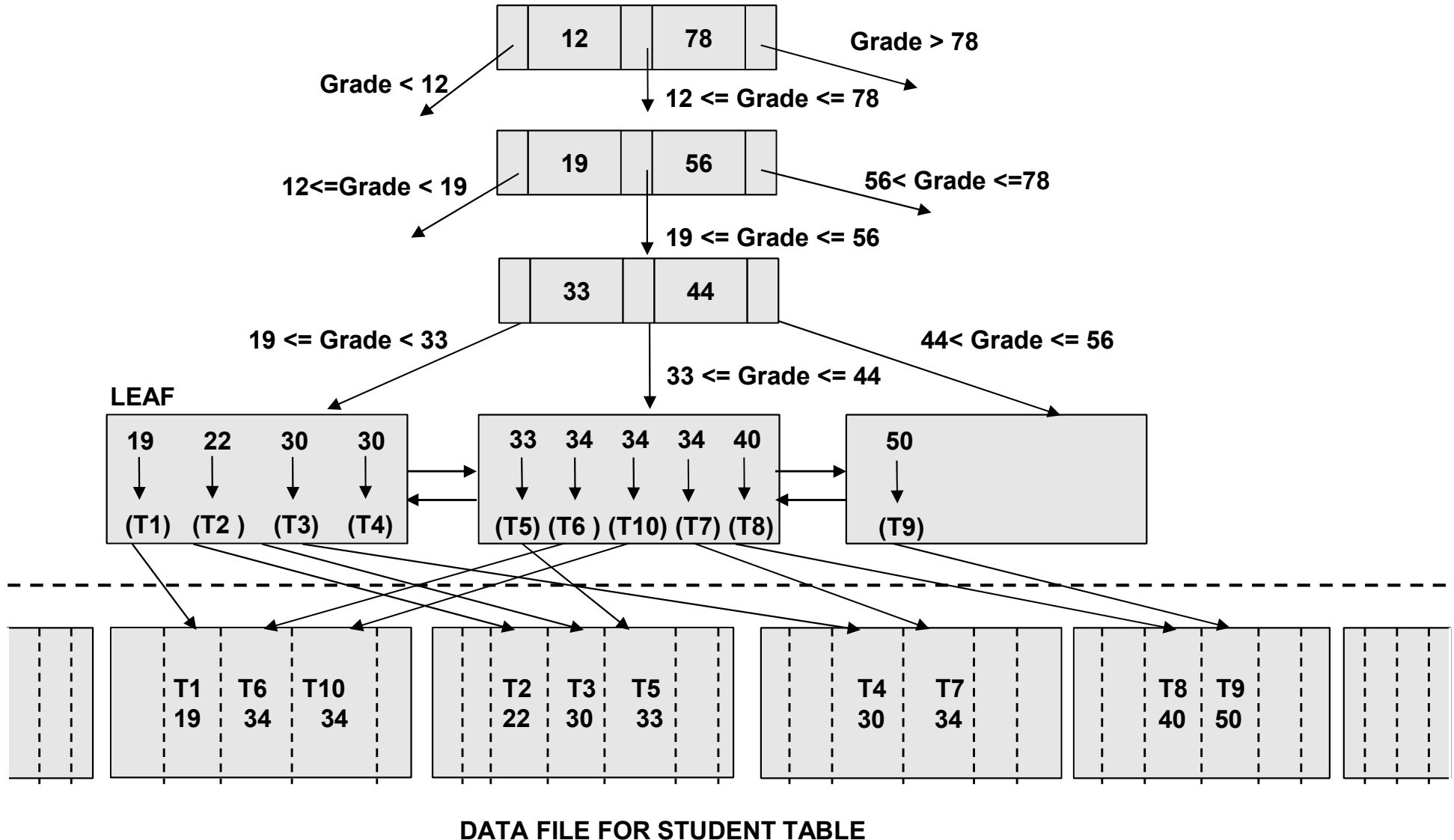
- Typically used with B+-Tree clustered (primary) indices
 - the index key is the sort key
- Used by the DBMS to store intermediate operation results

B+Tree

- Provide “direct” access to data based on the value of a key field
 - The key includes one or more attributes
- B stands for *balanced*
 - Leaves are all at the same distance from the root
 - Access time is constant, regardless of the searched value
- Unclustered
 - The leaf contains physical pointers to actual data
 - The position of tuples in a file is totally unconstrained
- Clustered
 - The tuple is contained into the leaf node
 - Constrains the physical position of tuples in a given leaf node
 - Typically used for primary key indexing

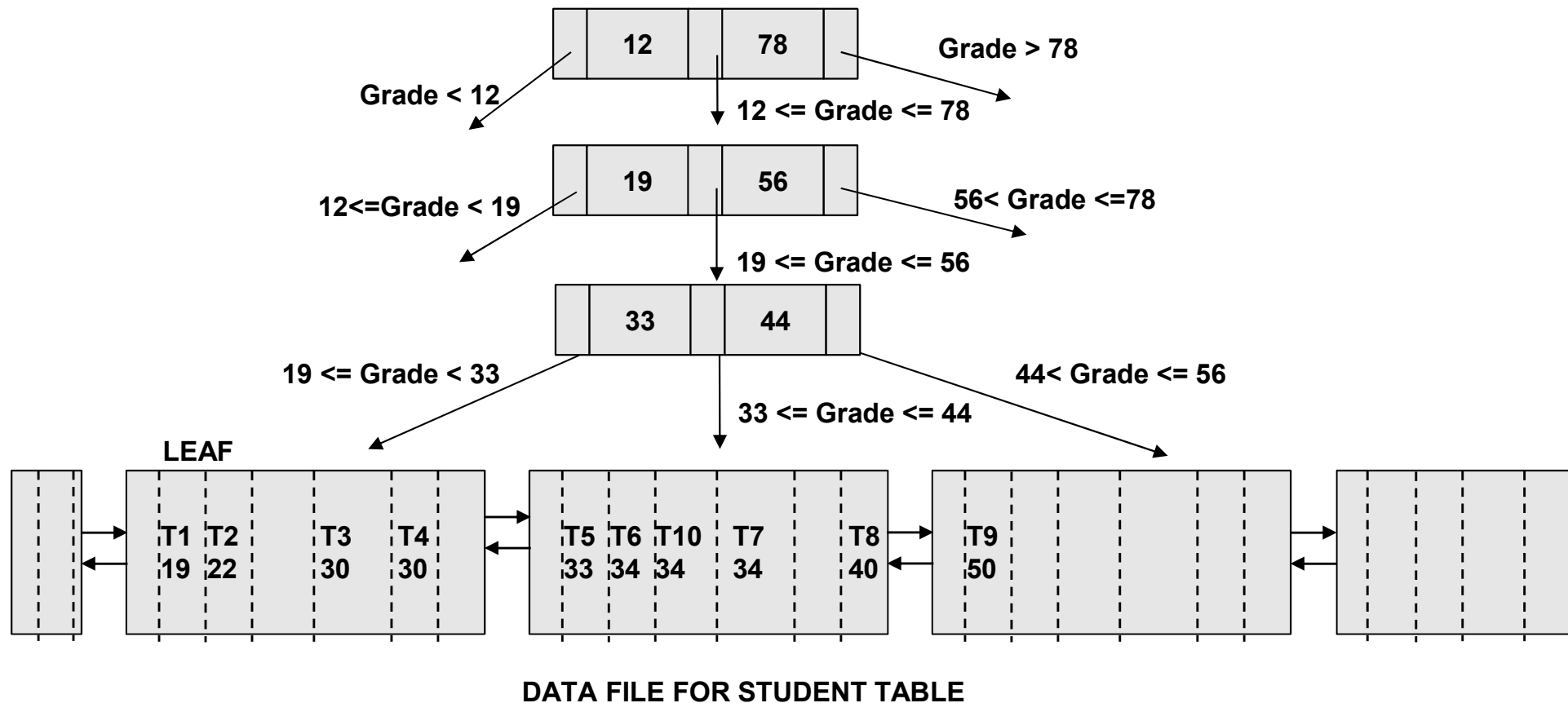
Example: Unclustered B⁺-Tree index

STUDENT (StudentId, Name, *Grade*)



Example: Clustered B⁺-Tree index

STUDENT (StudentId, Name, *Grade*)



Advantages and disadvantages

- Advantages
 - Very efficient for range queries
 - Appropriate for sequential scan in the order of the key field
 - Always for clustered, not guaranteed otherwise
- Disadvantages
 - Insertions may require a split of a leaf
 - possibly, also of intermediate nodes
 - computationally intensive
 - Deletions may require merging uncrowded nodes and re-balancing

Bitmap Index

- Composed of a bit matrix
 - A column for each different value of the indexed attribute domain
 - A row for each tuple (RID in the table)
 - The position (i,j) is 1 if the tuple i has value j , 0 otherwise

Example: Index on the field *Position* in the *Employee* table
Engineer – Consultant – Manager – Programmer -
Assistant – Accountant

| RID | Eng. | Cons. | Man. | Prog. | Assis. | Acc. |
|-----|------|-------|------|-------|--------|------|
| 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| 2 | 0 | 0 | 0 | 1 | 0 | 0 |
| 3 | 0 | 0 | 0 | 0 | 1 | 0 |
| 4 | 0 | 0 | 0 | 1 | 0 | 0 |
| 5 | 0 | 0 | 0 | 0 | 0 | 1 |

Taken from Golfarelli, Rizzi, "Data warehouse, teoria e pratica della progettazione", McGraw Hill 2006

Bitmap index

- It guarantees direct and efficient access to data based on the value of a *key field*
 - It is based on a *bit matrix*
- The bit matrix references data rows by means of RIDs (Row IDentifiers)
 - Actual data is stored in a separate structure
 - Position of tuples is not constrained

Bitmap index

- The bit matrix has
 - One column for each different value of the indexed attribute
 - One row for each tuple
- Position (i, j) of the matrix is
 - 1 if tuple i takes value j
 - 0 otherwise

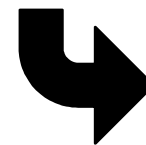
| RID | Val ₁ | Val ₂ | ... | Val _n |
|-----|------------------|------------------|-----|------------------|
| 1 | 0 | 0 | ... | 1 |
| 2 | 0 | 0 | ... | 0 |
| 3 | 0 | 0 | ... | 1 |
| 4 | 1 | 0 | ... | 0 |
| 5 | 0 | 1 | ... | 0 |

Example: Bitmap index

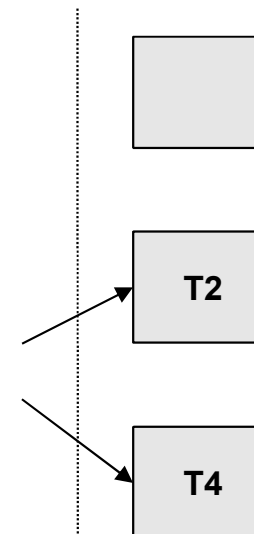
EMPLOYEE (EmployeeId, Name, *Job*)

Domain of Job attribute = {Engineer, Consultant, Manager, Programmer, Secretary, Accountant}

| RID | Eng. | Cons. | Man. | Prog. | Secr. | Acc. |
|-----|------|-------|------|-------|-------|------|
| 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| 2 | 0 | 0 | 0 | 1 | 0 | 0 |
| 3 | 0 | 0 | 0 | 0 | 1 | 0 |
| 4 | 0 | 0 | 0 | 1 | 0 | 0 |
| 5 | 1 | 0 | 0 | 0 | 0 | 0 |



| Prog. |
|-------|
| 0 |
| 1 |
| 0 |
| 1 |
| 0 |



DATA FILE
FOR EMPLOYEE
TABLE

Example: Index on the field *Position* in the *Employee* table
Engineer – Consultant – Manager – Programmer -
Assistant – Accountant

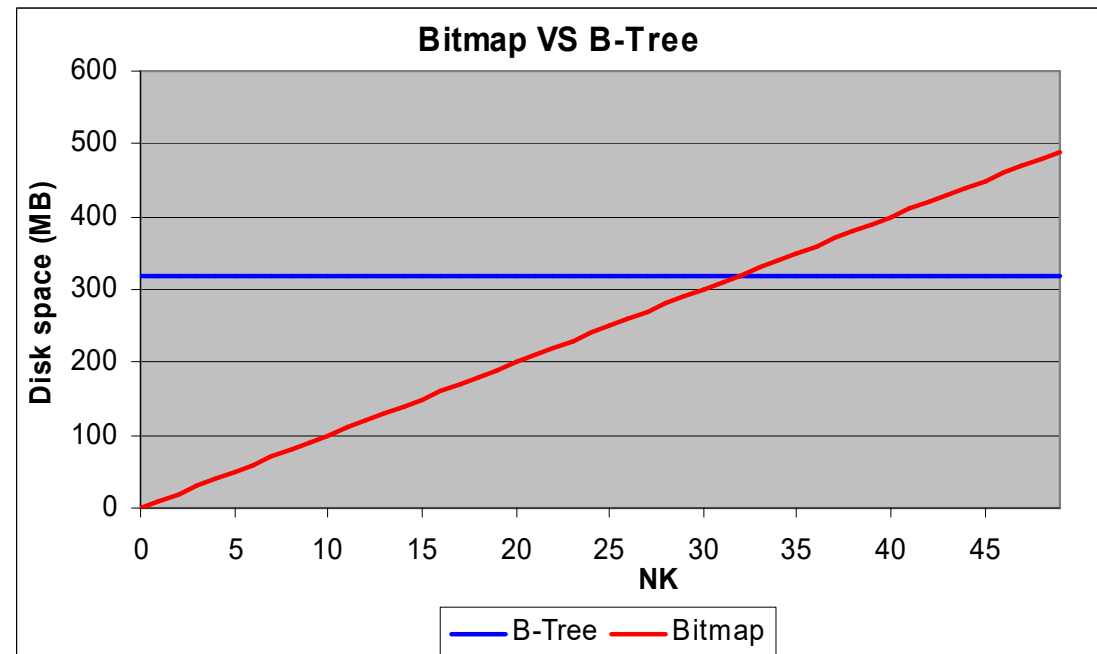
Bitmap index

- Advantages
 - Very efficient for boolean expressions of predicates
 - Reduced to bit operations on bitmaps
 - Appropriate for attributes with limited domain cardinality
- Disadvantages
 - Not used for continuous attributes
 - Required space grows significantly with domain cardinality

Bitmap Index

- Well-suited for dimensional attributes with low-cardinality domain
 - Storage requires limited space
 - If domain cardinality (NK) grows, the required space grows as well

B-tree $NR \times Len(\text{Pointer})$
Bitmap $NR \times NK \times 1 \text{ bit}$
 $Len(\text{Pointer}) = 4 \times 8 \text{ bit}$



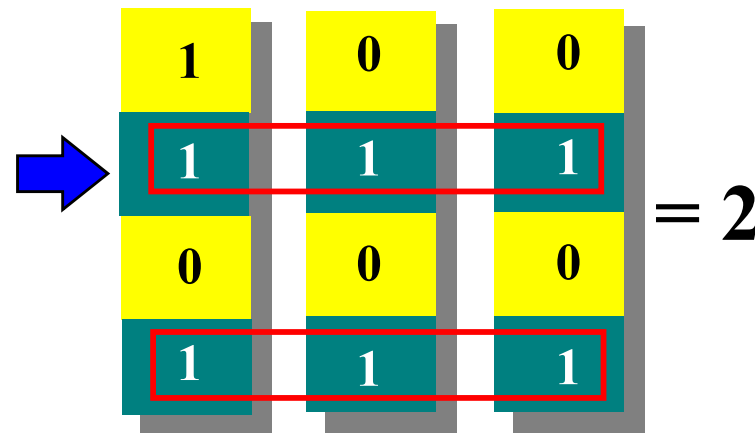
Taken from Golfarelli, Rizzi, "Data warehouse, teoria e pratica della progettazione", McGraw Hill 2006

Bitmap Index

- Efficient for verifying Boolean expressions of predicates
 - Bit-wise and/or on bitmaps

Example: “How many males in Romagna are insured?”

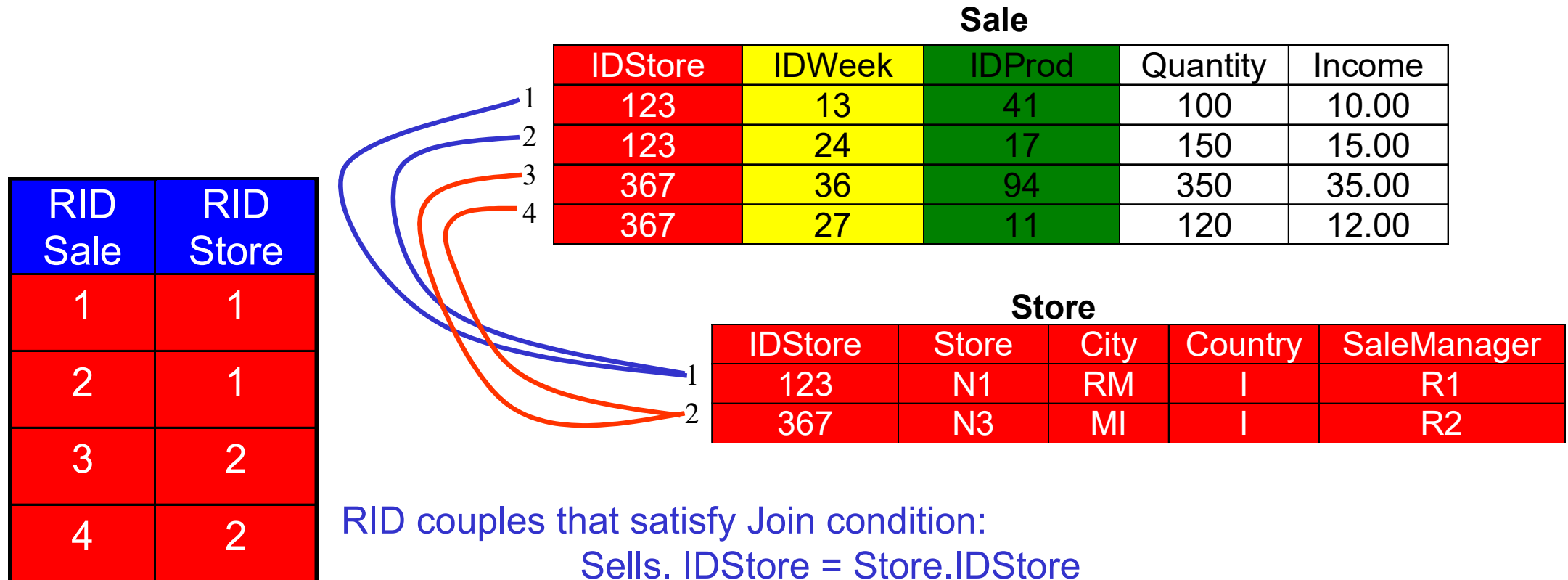
| RID | Gender | Ins. | Region |
|-----|--------|------|--------|
| 1 | M | No | LO |
| 2 | M | Yes | E/R |
| 3 | F | No | LA |
| 4 | M | Yes | E/R |



Example taken from Golfarelli, Rizzi, "Data warehouse, teoria e pratica della progettazione", McGraw Hill 2006

Join index

- Precomputes the join between two tables
 - Stores the RID couples of tuples that satisfy the join predicate



Example taken from Golfarelli, Rizzi, "Data warehouse, teoria e pratica della progettazione", McGraw Hill 2006

Star index

- Precomputes the join between two or more tables
 - Stores the RID n-uples of the tuples that satisfy the join predicate

Week
predicate

| WeekID | Week | Month |
|--------|------|-------|
| 13 | Jan1 | Jan. |
| 24 | Jan2 | Jan. |

Shop

| StoreID | Store | City | Country |
|---------|-------|------|---------|
| 123 | N1 | RM | I |
| 367 | N3 | MI | I |

Sale

| StoreID | WeekID | ProdID | Quantity | Income |
|---------|--------|--------|----------|--------|
| 123 | 13 | 41 | 100 | 10.00 |
| 123 | 24 | 17 | 150 | 15.00 |
| 367 | 13 | 17 | 350 | 35.00 |
| 367 | 24 | 41 | 120 | 12.00 |

Product

| ProdID | Product | Type | Category | Supplier |
|--------|---------|------|----------|----------|
| 41 | P1 | A | X | F1 |
| 17 | P2 | A | X | F1 |

| SaleRID | SRID | WID | PID |
|---------|------|-----|-----|
| 1 | 1 | 1 | 1 |
| 2 | 1 | 2 | 2 |
| 3 | 2 | 1 | 2 |
| 4 | 2 | 2 | 1 |



Example taken from Golfarelli, Rizzi, "Data warehouse, teoria e pratica della progettazione", McGraw Hill 2006

Star index

- Advantages
 - Efficient computation of Joins involving initial index columns (or all columns)
- Disadvantages
 - Useful only for specific Join combinations
 - It is necessary to store a high number of indexes in order to achieve generalization
 - The storage space may become big
 - Joins always include the fact table

Bitmapped join index

- Bit matrix that precomputes the join between a dimension and the fact table
 - A column for each dimension RID
 - A row for each fact table RID
 - The position (i,j) is 1 if the tuple i of the dimension is joined with the tuple j of the fact table, 0 otherwise
- Can be used together with traditional bitmap indexes to compute complex queries with conditions on dimensions and multiple joins

| RID | 1 | 2 | 3 | ... |
|-----|-----|-----|-----|-----|
| 1 | 1 | 0 | 0 | ... |
| 2 | 0 | 1 | 0 | ... |
| 3 | 0 | 0 | 1 | ... |
| 4 | 0 | 1 | 0 | ... |
| 5 | 1 | 0 | 0 | ... |
| ... | ... | ... | ... | ... |

RID of the STORE table ←

RID of SELLS table →

The row 4 of table SELLS is joined with the row 2 of the table STORE

Taken from Golfarelli, Rizzi, "Data warehouse, teoria e pratica della progettazione", McGraw Hill 2006

Bitmapped join index

Executing a bit-wise OR, the system obtains RID_i that satisfy all conditions for a dimensional table

Bitmap Index on attribute $DT_i.b_i$

| RID | Val ₁ | Val ₂ | ... | Val _i | ... | Val _n |
|-----|------------------|------------------|-----|------------------|-----|------------------|
| 1 | 1 | 0 | ... | 0 | ... | 0 |
| 2 | 0 | 0 | ... | 0 | ... | 1 |
| 3 | 0 | 1 | ... | 0 | ... | 0 |
| 4 | 0 | 0 | ... | 1 | ... | 0 |
| 5 | 0 | 0 | ... | 1 | ... | 0 |
| ... | ... | ... | ... | ... | ... | ... |

Bitmapped join index
 $FT.a_i = DT_i.a_i$

| RID | 1 | 2 | 3 | 4 | 5 | ... |
|-----|-----|-----|-----|-----|-----|-----|
| 1 | 0 | 0 | 0 | 1 | 0 | ... |
| 2 | 0 | 0 | 0 | 1 | 0 | ... |
| 3 | 0 | 1 | 1 | 0 | 0 | ... |
| 4 | 1 | 0 | 0 | 0 | 0 | ... |
| 5 | 0 | 0 | 0 | 0 | 1 | ... |
| 6 | 0 | 1 | 0 | 0 | 0 | ... |
| ... | ... | ... | ... | ... | ... | ... |

RID 4

| |
|-----|
| 1 |
| 1 |
| 0 |
| 0 |
| 0 |
| 0 |
| ... |

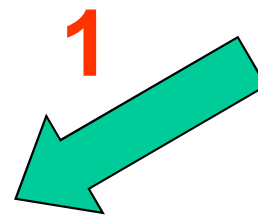
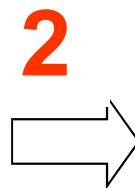
RID 5

| |
|-----|
| 0 |
| 0 |
| 0 |
| 0 |
| 1 |
| 0 |
| ... |

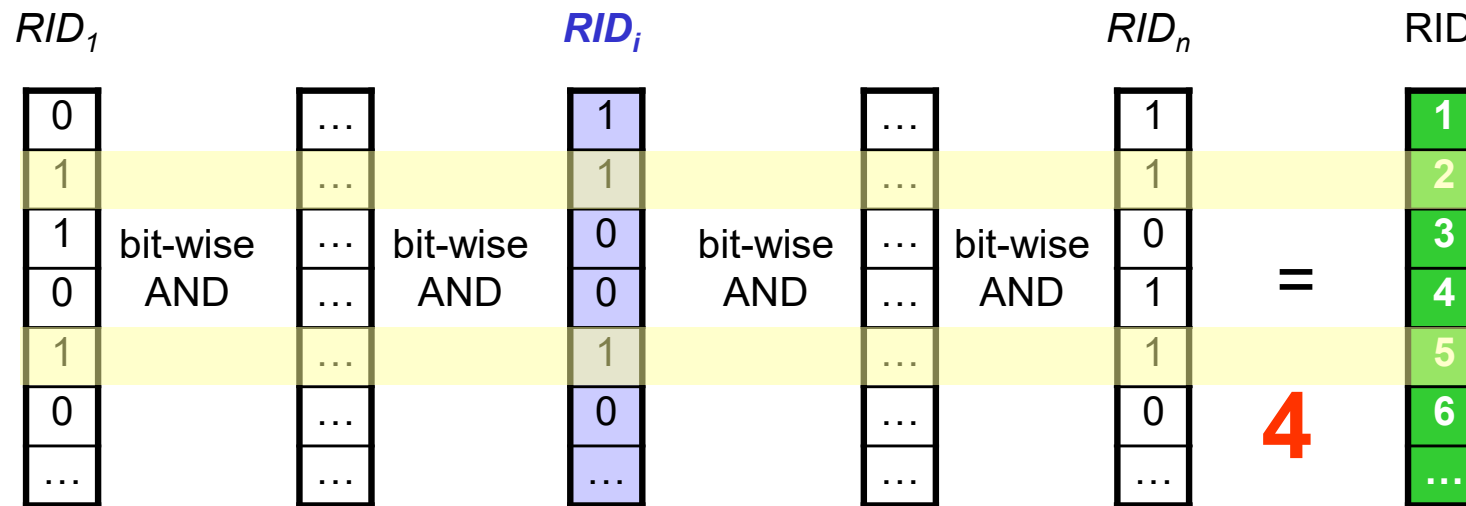
3
Bit-wise
OR

RID_i

| |
|-----|
| 1 |
| 1 |
| 0 |
| 0 |
| 1 |
| 0 |
| ... |



Bitmapped join index



The fact table tuples that satisfy the query are computed with a bit-wise AND between the n vector previously created

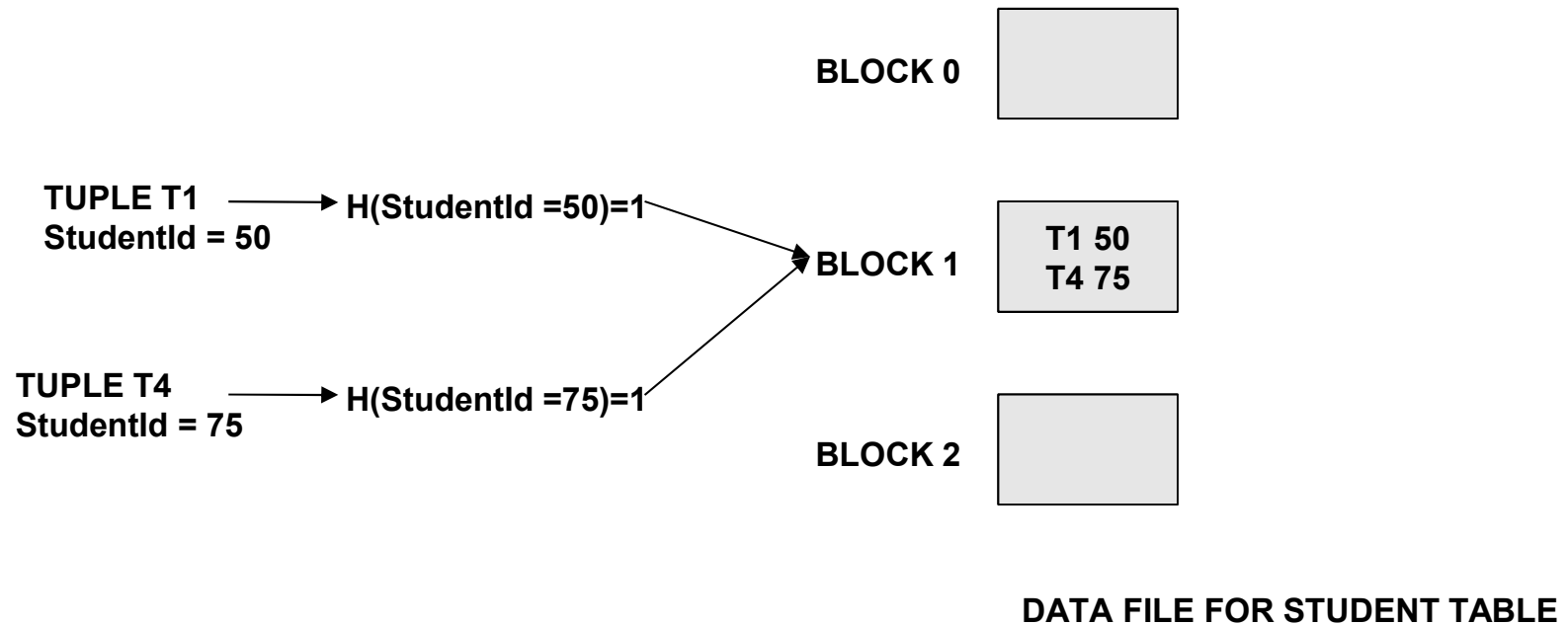
RIDs that satisfy all conditions

Hash structure

- It guarantees direct and efficient access to data based on the value of a *key field*
 - The hash key may include one or more attributes
- Suppose the hash structure has B blocks
 - The hash function is applied to the key field value of a record
 - It returns a value between 0 and B-1 which defines the position of the record
 - Blocks should never be completely filled
 - To allow new data insertion

Example: hash index

STUDENT (StudentId, Name, Grade)



Hash index

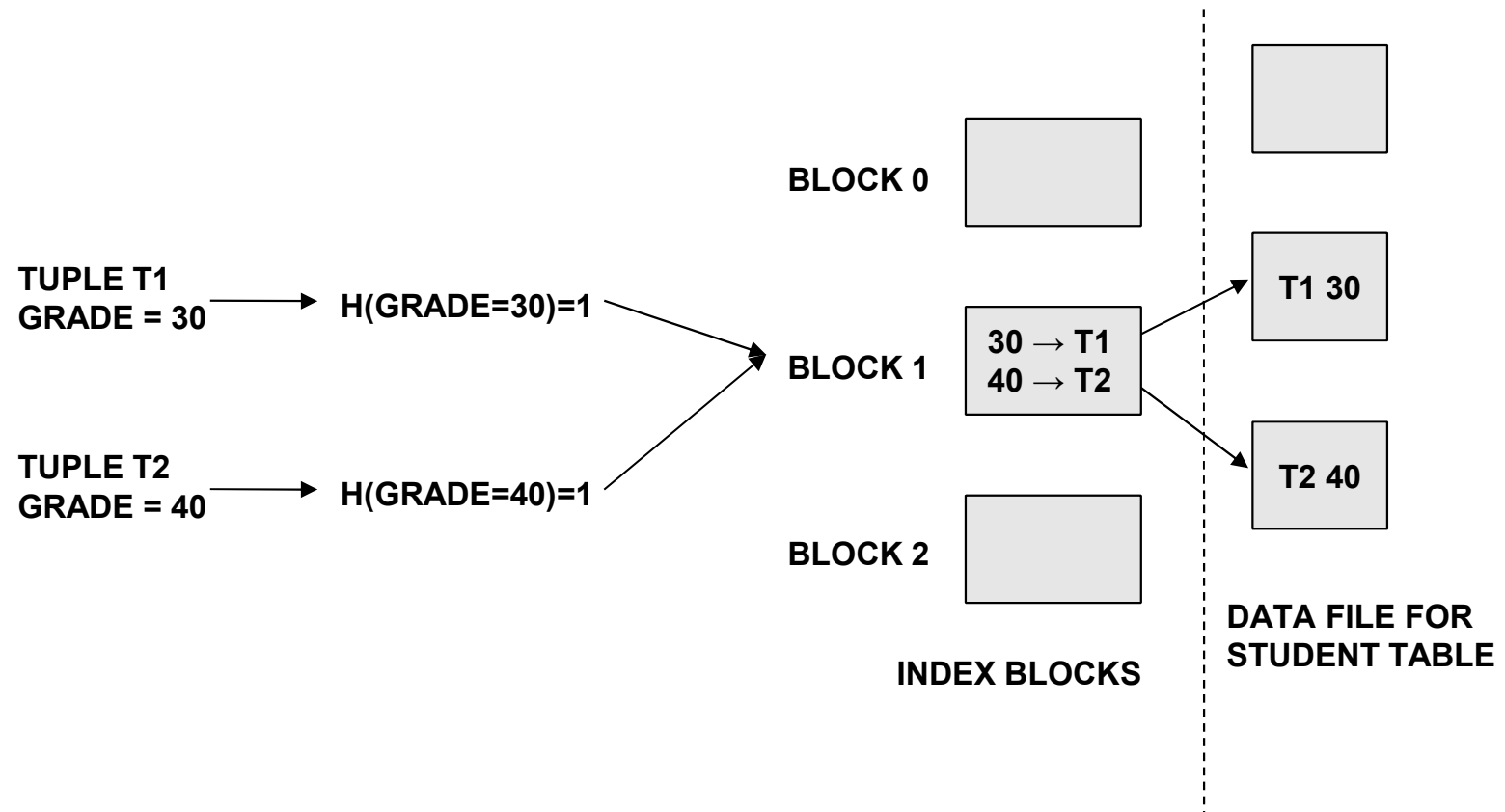
- Advantages
 - Very efficient for queries with equality predicate on the key
 - No sorting of disk blocks is required
- Disadvantages
 - Inefficient for range queries
 - Collisions may occur

Unclustered hash index

- It guarantees direct and efficient access to data based on the value of a *key field*
 - Similar to hash index
- Blocks contain pointers to data
 - Actual data is stored in a separate structure
 - Position of tuples is not constrained to a block
 - Different from hash index

Example: Unclustered hash index

STUDENT (StudentId, Name, *Grade*)



Index choice

- Indexing of dimensions
 - Attribute frequently involved in selection predicates
 - If the domain has high cardinality, B-tree index
 - If the domain has low cardinality, bitmap index
- Indexes for Join
 - It is seldom necessary to index only external keys of the fact table
 - Be careful when using Star Join Indexes (problems related to column ordering)
 - Bitmapped join index are recommended
- Indexes for Group By
 - Use Materialized Views