

Politecnico di Torino  
Database Management Systems

Oracle Optimizer



Tania Cerquitelli  
tania.cerquitelli@polito.it

A.A. 2012-2013



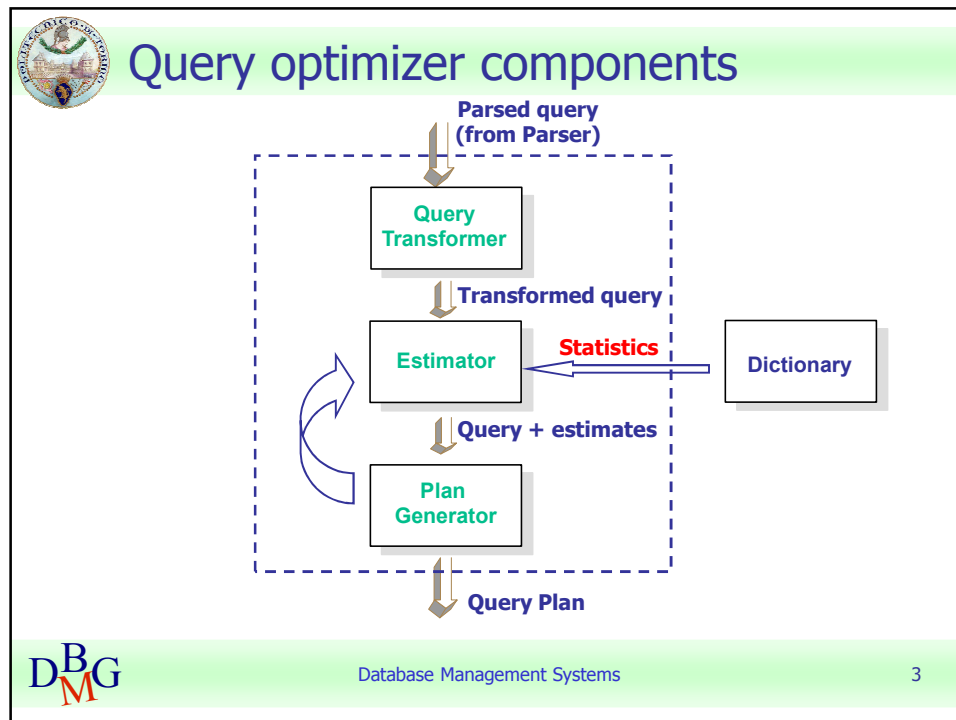
Optimizer objective

- A SQL statement can be executed in many different ways
- The query **optimizer** determines the most efficient way to execute a SQL statement after considering many factors (e.g., objects referenced, conditions specified in the query)
- The output from the optimizer is a **plan** that describes an optimum method of execution (i.e., minimum execution **cost**)
- The **cost** is an estimated value proportional to the expected **resource** use (i.e., I/O, CPU, and memory) needed to execute the statement with a particular plan



Database Management Systems


2



**Query Transformer**


- The input is a **parsed query**, represented by a set of query blocks
- The **query blocks** are nested or interrelated to each other (sub-queries)
  - the **innermost** query block is optimized **first** and a sub-plan is generated for it (bottom-up approach)
- The objective is to determine if it is advantageous to **change** the form of the query so that it enables generation of a better query plan


DBMG Database Management Systems 4



## Estimator

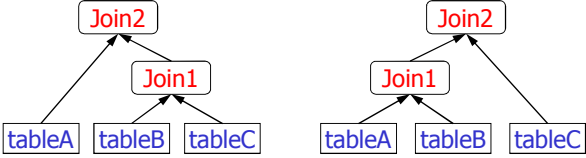
- The goal is to **estimate** the overall **cost** of a given plan by exploiting three different types of measures
  - **Selectivity** represents a **fraction of rows** from a row set
  - **Cardinality** represents the **number of rows** in a row set
  - **Cost** represents units of **work** or **resource** used. The query optimizer uses disk I/O (access path), CPU usage, and memory usage as units of work
- Estimator uses **statistics** from the dictionary to compute the measures which improve the degree of accuracy of the evaluation
  - **histogram** of different values in a table column


 Database Management Systems 5




## Plan Generator

- Its main function is to
  - try out different possible **plans** for a given query
  - and pick the one that has **the lowest cost**
- Many plans are possible because of combinations of different
  - access paths
  - join methods
  - join orders
- It uses an internal **cutoff** to reduce the number of plans explored
  - the cutoff is based on the cost of the **current best plan**
  - if cutoff is high, more plans are explored, and vice versa



 Database Management Systems 6

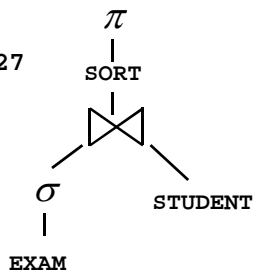
<i>Operation</i>	<i>Description</i>
Evaluation of expressions and conditions	The optimizer first evaluates expressions and conditions containing <b>constants</b> as fully as possible
Statement transformation	For complex statements involving, for example, correlated sub-queries or views, the optimizer might <b>transform the original statement</b> into an equivalent join statement
Choice of optimizer goals	The optimizer determines the <b>goal</b> of optimization
Choice of access paths	For each table accessed by the statement, the optimizer chooses one or more of available <b>access paths</b> to obtain data
Choice of join orders	For a join statement that <b>joins</b> more than two tables, the optimizer chooses which <b>pair of tables</b> is joined first, and then which table is joined to the result, and so on
Choice of join methods	For a join statement that joins more than two tables, the optimizer chooses which <b>join method</b> is exploited to perform the required operation



## Example

STUDENT (SId, SSurname, SName)  
 COURSE (CCode, PId, Year, Semester)  
 EXAM (CCode, SId, Date, Score)


Query: SELECT SName, S.Sid  
 FROM EXAM E, STUDENT S  
 WHERE S.Sid=E.Sid and Score>=27  
 ORDER BY SName



```

graph BT
    EXAM --> S1((σ))
    STUDENT --> J1(⋈)
    S1 --> J1
    J1 --> S2((SORT))
    S2 --> P1((π))
    
```

The diagram illustrates the query plan for the given SQL query. It starts with the EXAM and STUDENT tables. The EXAM table is filtered by the condition Score >= 27 (represented by the σ symbol). The filtered EXAM table is then joined with the STUDENT table (represented by the ⋈ symbol). The result of the join is then sorted (represented by the SORT symbol) and finally projected (represented by the π symbol) to return the SName and S.Sid columns.





## Example

$$\begin{array}{c}
 \pi \\
 | \\
 \text{SORT} \\
 | \\
 \Join \\
 / \quad \backslash \\
 \sigma \quad \text{STUDENT} \\
 | \\
 \text{EXAM}
 \end{array}$$

$$\begin{array}{c}
 \text{Select Statement } 1 \\
 | \\
 \text{SORT } 2 \\
 | \\
 3 \text{ JOIN} \\
 / \quad \backslash \\
 5 \text{ READ EXAM} \quad 4 \text{ READ STUDENT}
 \end{array}$$


Id	Pid	Operation	Cost
1	/	Select Statement	100
2	1	Sort	90
3	2	Join	70
4	3	Read STUDENT	40
5	3	Read EXAM + Selection	20



Database Management Systems
9



## Overview of EXPLAIN PLAN


- It is possible to examine the execution plan chosen by the optimizer for a SQL statement by using the **EXPLAIN PLAN** statement
- When the statement is issued, the optimizer chooses an execution plan and then inserts data describing the plan into a database table
- Simply issue the **EXPLAIN PLAN** statement and then query the output table


Database Management Systems
10




## Understanding EXPLAIN PLAN

- The **EXPLAIN PLAN** statement displays **execution plans** chosen by the Oracle optimizer for **SELECT**, **UPDATE**, **INSERT**, and **DELETE** statements
- A statement's execution plan is the **sequence of operations** Oracle performs to run the statement
- The raw source tree shows the following information
  - An ordering of the **tables** referenced by the statement
  - An **access method** for each table mentioned in the statement
  - A **join method** for tables affected by join operations in the statement
  - Data **operations** like filter, sort, or aggregation
- The plan table also contains information about the following
  - Optimization, such as the **cost** and cardinality of each operation
  - Partitioning, such as the set of accessed **partitions**
  - Parallel execution, such as the distribution method of join input **order**




Database Management Systems 11




## Understanding the Query Optimizer

- The query optimizer determines, for a given SQL statement, which execution plan is most efficient (i.e., has the lowest cost)
  - by considering available **access paths**
  - by changing execution **join orders**
  - by evaluating different **join methods**
  - by analyzing **statistics** from the data dictionary for the schema objects (tables or indexes) accessed by the SQL statement




Database Management Systems 12




## Access Paths for the Query Optimizer

- Access paths allow the **retrieval of data** from the database
  - **Index** access paths should be used for statements that retrieve a small subset of table rows
  - **Full scans** are more efficient when accessing a large portion of the table
- Data can be retrieved in any table by means of the following access paths
  - Full Table Scans
  - Index Scans
  - Rowid Scans




Database Management Systems 13




## Full Table Scans

- This type of scan reads **all rows** from a table and filters out those that do not meet the selection criteria
- Each row is examined to determine whether it satisfies the statement's **WHERE** clause
- Physical blocks are adjacent and they are read **sequentially**
- Larger I/O calls are allowed, i.e., many blocks (multiblock) are read in a single I/O call
- **Multiblock** reads can be used to speed up the process
- The size of multiblock is initialized by the parameter **DB\_FILE\_MULTIBLOCK\_READ\_COUNT**



Database Management Systems 14



## Full Table Scans: Example

```
STUDENT (Sid, SSurname, SName)
COURSE (CCode, PCode, Year, Semester)
EXAM (CCode, Sid, Date, Score)
```


Query: `SELECT Sid, CCode, Score  
FROM EXAM  
WHERE Score >= 20;`


$$\begin{array}{c} \pi \\ | \\ \sigma \\ | \\ \text{EXAM} \end{array}$$

Select Statement  
Cost = 5

↑


Table Access Full  
Cost = 2

 Database Management Systems 15




## Assessing I/O for Blocks

- Oracle does I/O by **blocks**
  - Generally **multiple rows** are stored in each block. The total number of rows could be clustered together in a few blocks, or they could be spread out over a larger number of blocks.
- The optimizer decision to use full table scans is influenced by the **percentage of blocks** accessed, not rows. This is called the **index clustering factor**
- Although the clustering factor is a property of the index, the clustering factor actually relates to the spread of **similar indexed column values** within data blocks in the table
  - **Low** clustering factor: individual rows are **concentrated** within fewer blocks in the table.
  - **High** clustering factor: individual rows are **scattered** more randomly across blocks in the table. It costs more to use a range scan to fetch rows by rowid, because more blocks in the table need to be visited to return the data.

 Database Management Systems 16






## Effects of Clustering Factor on Cost

- Assume the following situation
  - There is a table with 9 rows
  - There is a non-unique index on column 1
  - Column 1 currently stores the values A, B, and C
  - Oracle stores the table using only 3 blocks
- Case 1. The **index clustering factor** is **low** for the rows as they are arranged in the following diagram

Block 1	Block 2	Block 3
-----	-----	-----
A A A	B B B	C C C

DBG  
Database Management Systems 17




## Effects of Clustering Factor on Cost

- Case 2. If the same rows in the table are rearranged so that the index values are scattered across the table blocks (rather than clustered together), then the **index clustering factor** is **higher**, as in the following schema.


Block 1	Block 2	Block 3
-----	-----	-----
A B C	A B C	A B C

DBG  
Database Management Systems 18




## When the Optimizer Uses Full Table Scans

- Lack of **index**
- Retrieval of a large amount of data stored in the target table
  - If the query will access **most of the blocks** in the table, the optimizer uses a full table scan, even though indexes might be available
  - Full table scans can use larger I/O calls, and making **fewer large I/O calls** is cheaper than making many smaller calls
- Small table
  - If a table has less than `DB_FILE_MULTIBLOCK_READ_COUNT` blocks it can be read in a **single I/O call**, then a full table scan might be cheaper than an index range scan




Database Management Systems 19




## Oracle indexes

- System indexes (secondary indexes) created automatically on the **primary key** attributes
  - SYS\_#
- **Primary** indexes
  - Clustered Btree (physical sort)
  - Hash (bucket)
- **Secondary** indexes
  - Btree
  - Bitmap
  - Hash

```
CREATE INDEX IndexName ON Table (Column, ...);  
  
DROP INDEX IndexName;
```




Database Management Systems 20




## Index Scans

- The index contains the indexed **value** and the **rowids** of rows in the table having that value
- An index scan retrieves data from an index based on the value of one or more columns in the index
  - Oracle searches the index for the indexed column **values accessed by the statement**
  - If the statement accesses only columns of the index, the indexed column values are read **directly** from the index, otherwise the rows in the table are accessed by means of the **rowid**
- An index scan can be one of the following types
  - Index Unique Scans
  - Index Range Scans
  - Index Full Scans
  - Fast Full Index Scans
  - Bitmap Indexes




Database Management Systems 21




## Index Unique Scans

- This scan returns at most a **single rowid** for each indexed value
- Oracle performs a unique scan if a statement contains a **UNIQUE** or a **PRIMARY KEY** constraint that guarantees that only a single row is accessed
- It is used when all columns of a unique (e.g., B-tree) index or an index created as a result of a primary key constraint are specified with **equality** conditions





Database Management Systems 22



## Index Range Scans


- An index range scan is a common operation for accessing **selective** data
- Data is returned in the **ascending order** of index columns. Multiple rows with identical values are sorted in ascending order by rowid
- The optimizer uses a range scan when it finds one or more **leading columns** of an index specified in conditions
  - `col1 = :b1`
  - `col1 <= :b1`
  - `col1 >=:b1`
  - and combinations of the preceding conditions for leading columns in the index
- Range scans can use unique or non-unique indexes
- Range scans **avoid sorting** when index columns constitute the **ORDER BY/GROUP BY** clause

Database Management Systems23



## Index Full Scans

- A index full scan is available if a predicate references one of the **columns** in the index. The predicate does not need to be an index driver.
- It is also available when there is **no predicate**, if both the following conditions are met
  - **all** of the **columns** in the table referenced in the query are included in the index
  - at least one of the index columns is **not null**
- A full scan can be used to eliminate a **sort** operation (required by **GROUP BY**, **ORDER BY**, **MERGE JOIN**), because the data is **ordered** by the index key
- It reads the blocks singly (one by one)

Database Management Systems24



## Fast Full Index Scans


- Fast full index scans are an alternative to a full table scan when the index contains **all** the **columns** that are **needed** for the query, and at least one column in the index key has the **NOT NULL** constraint
- A fast full scan accesses the data in the index itself, **without accessing the table**
- It cannot be used to eliminate a **sort** operation, because the data is **not ordered** by the index key
- A fast full scan is **faster** than a normal full index scan
  - It reads the entire index using **multiblock** reads



## Bitmap Indexes

- Bitmap indexes are most effective for queries that contain multiple conditions in the WHERE clause
- They are usually easier to destroy and re-create than to maintain
- A **bitmap join** uses a bitmap for key values and a mapping function that converts each bit position to a rowid





## Bitmap Indexes: Example

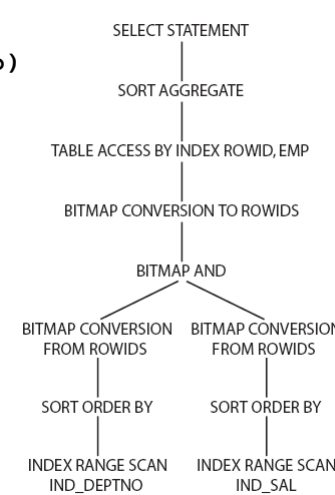
```


EMP(Empno, Ename, Job, Mgr,
   Hiredate, Sal, Grade, Deptno)
DEPT(Deptno, Dname, Loc)
SALGRADE(Grade, Losal, Hisal)


SELECT AVG(e.sal)
FROM EMP E
WHERE E.Deptno < 10 and
      E.Sal > 100 and E.Sal < 200;

CREATE INDEX Ind_Deptno
On EMP(Deptno);

CREATE INDEX Ind_Sal
On EMP(Sal);
        
```





Database Management Systems
27



## Bitmap Indexes

- They are most effective for queries that contain multiple conditions in the WHERE clause
- They are usually easier to destroy and re-create than to maintain
- A bitmap join uses a bitmap for key values and a mapping function that converts each bit position to a rowid. Bitmaps can efficiently merge indexes that correspond to several conditions in a WHERE clause, using Boolean operations to resolve AND and OR conditions.


Database Management Systems
28



## Rowid Scans

- The **rowid** of a row specifies the data **file** and data **block** (i.e., physical address) containing the row and the location of the row in that block
- Locating a row by its rowid is the **fastest** way to retrieve a **single row**
- To access a table by rowid (in Oracle)
  - Rowids of the selected rows are obtained through an **index scan** of one or more of the table's indexes
  - Each selected row is accessed in the table based on the **physical address** obtained by its rowid



## Index Unique Scans: Example


```
EXPLAIN PLAN FOR
SELECT e.employee_id, j.job_title, e.salary, d.department_name
FROM employees e, jobs j, departments d
WHERE e.employee_id < 103
      AND e.job_id = j.job_id
      AND e.department_id = d.department_id;
```

Id	Operation	Name	Rows	Bytes	Cost (%CPU)
0	SELECT STATEMENT		3	189	10 (10)
1	NESTED LOOPS		3	189	10 (10)
2	NESTED LOOPS		3	141	7 (15)
* 3	TABLE ACCESS FULL	EMPLOYEES	3	60	4 (25)
4	TABLE ACCESS BY INDEX ROWID	JOBS	19	513	2 (50)
* 5	INDEX UNIQUE SCAN	JOB_ID_PK	1		
6	TABLE ACCESS BY INDEX ROWID	DEPARTMENTS	27	432	2 (50)
* 7	INDEX UNIQUE SCAN	DEPT_ID_PK	1		

Predicate Information (identified by operation id):

- 3 - filter("E"."EMPLOYEE\_ID"<103)
- 5 - access("E"."JOB\_ID"="J"."JOB\_ID")
- 7 - access("E"."DEPARTMENT\_ID"="D"."DEPARTMENT\_ID")





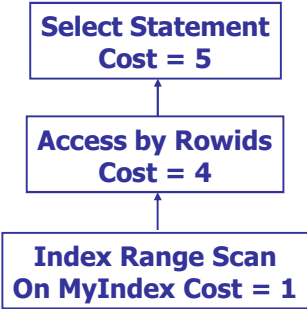
## Index Range Scans: Example

```

STUDENT (SId, SSurname, SName)
COURSE (CCode, PCode, Year, Semester)
EXAM (CCode, SId, Date, Score)

Query: SELECT SId, CCode, Score
FROM EXAM
WHERE Score >= 27;


CREATE INDEX MyIndex On EXAM(Score);
    
```



```

graph BT
    A[Select Statement  
Cost = 5] --> B[Access by Rowids  
Cost = 4]
    B --> C[Index Range Scan  
On MyIndex Cost = 1]
    
```

DBG  
Database Management Systems 31



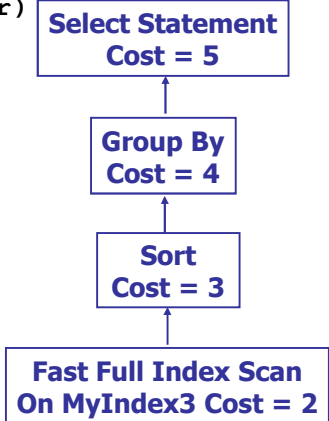
## Fast Full Index Scans: Example

```

STUDENT (SId, SSurname, SName)
COURSE (CCode, PCode, Year, Semester)
EXAM (CCode, SId, Date, Score)

Query: SELECT CCode, AVG(Score)
FROM EXAM
GROUP BY CCode;

CREATE INDEX MyIndex3
On EXAM(CCode, Score);
    
```




```

graph BT
    A[Select Statement  
Cost = 5] --> B[Group By  
Cost = 4]
    B --> C[Sort  
Cost = 3]
    C --> D[Fast Full Index Scan  
On MyIndex3 Cost = 2]
    
```

DBG  
Database Management Systems 32





## Index Full Scans: Example

```

STUDENT (Sid, SSurname, SName)
COURSE (CCode, PCode, Year, Semester)
EXAM (CCode, Sid, Date, Score)

Query: SELECT Sid, AVG(Score)
      FROM EXAM
      GROUP BY Sid;


CREATE INDEX MyIndex2
On EXAM(Sid);
        
```


**Select Statement**  
Cost = 6

**Group By No Sort**  
Cost = 6

**Access by Rowids**  
Cost = 4

**Index Full Scan**  
On MyIndex2 Cost = 2


Database Management Systems
33





## Rowid Scans: Example

```

EMPLOYEES (
  employee_id,
  department_id,
  job_id, name, birth_date, salary)
JOBS ( job_id,
  grade, job_title, name)
DEPARTMENTS (
  department_id,
  department_name, city)

EXPLAIN PLAN FOR
SELECT e.employee_id, j.job_title, e.salary, d.department_name
FROM employees e, jobs j, departments d
WHERE e.employee_id < 103
      AND e.job_id = j.job_id
      AND e.department_id = d.department_id;
        
```

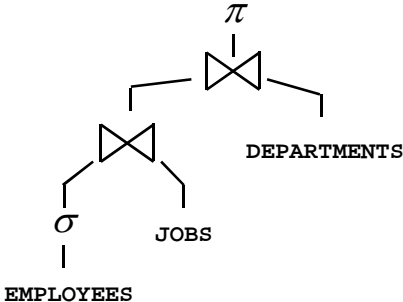

Database Management Systems
34





## Rowid Scans: Example

```

EXPLAIN PLAN FOR
SELECT e.employee_id, j.job_title, e.salary, d.department_name
FROM employees e, jobs j, departments d
WHERE e.employee_id < 103
      AND e.job_id = j.job_id
      AND e.department_id = d.department_id;
    
```




Database Management Systems
35



## Rowid Scans: Example

```


EXPLAIN PLAN FOR
SELECT e.employee_id, j.job_title, e.salary, d.department_name
FROM employees e, jobs j, departments d
WHERE e.employee_id < 103
      AND e.job_id = j.job_id
      AND e.department_id = d.department_id;
    
```


Id	Operation	Name	Rows	Bytes	Cost (%CPU)
0	SELECT STATEMENT		3	189	10 (10)
1	NESTED LOOPS		3	189	10 (10)
2	NESTED LOOPS		3	141	7 (15)
* 3	TABLE ACCESS FULL	EMPLOYEES	3	60	4 (25)
4	TABLE ACCESS BY INDEX ROWID	JOBS	19	513	2 (50)
* 5	INDEX UNIQUE SCAN	JOB_ID_PK	1		
6	TABLE ACCESS BY INDEX ROWID	DEPARTMENTS	27	432	2 (50)
* 7	INDEX UNIQUE SCAN	DEPT_ID_PK	1		

Predicate Information (identified by operation id):

```


3 - filter("E"."EMPLOYEE_ID"<103)
5 - access("E"."JOB_ID"="J"."JOB_ID")
7 - access("E"."DEPARTMENT_ID"="D"."DEPARTMENT_ID")
    
```



Database Management Systems
36



## JOIN


- Join Method
  - To join each **pair of row** sources, Oracle must perform a join operation
  - Join methods include
    - nested loop
    - sort merge
    - hash joins
- Join Order
  - To execute a statement that joins **more than two tables**, Oracle joins two of the tables and then joins the resulting row source to the next table
  - This process is continued until all tables are joined into the result.


Database Management Systems37



## Nested Loop Joins

- Nested loop joins are useful when **small subsets** of data are being joined and if the join condition is an efficient way of **accessing the second table**
- A nested loop join involves the following steps
  - The optimizer determines the **driving** table and designates it as the **outer** table
  - The **other** table is designated as the **inner** table
  - For **every** row in the **outer** table, Oracle accesses **all** the rows in the **inner** table.
  - The **outer loop** is for every row in outer table and the **inner loop** is for every row in the inner table. The outer loop appears before the inner loop in the execution plan.

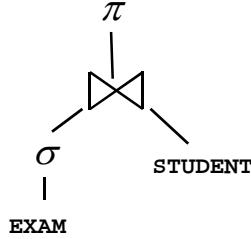
Database Management Systems38





## Nested Loop Joins: Example

**STUDENT** (Sid, SSurname, SName)  
**COURSE** (CCode, PId, Year, Semester)  
**EXAM** (CCode, Sid, Date, Score)

**Query:** SELECT Surname, CCode, Score  
 FROM EXAM E, STUDENT S  
 WHERE S.Sid=E.Sid and  
 Score>=18

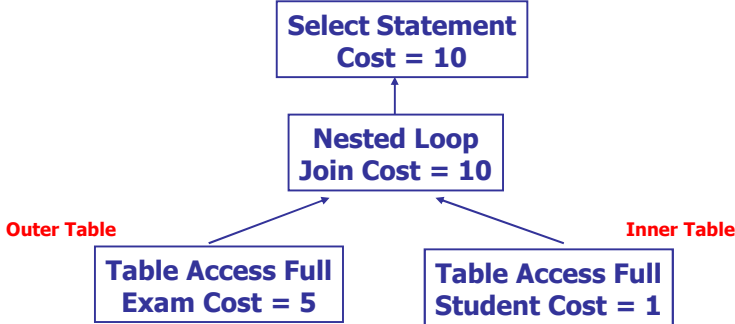




Database Management Systems
39



## Nested Loop Joins: Example

**Query:** SELECT Surname,CCode,Score  
 FROM EXAM E, STUDENT S  
 WHERE S.Sid=E.Sid and Score>=18




Database Management Systems
40



## When the Optimizer Uses Nested Loop Joins

- The optimizer uses nested loop joins when joining **small** number of rows, with a good **driving condition** between the two tables.
- The **outer loop** is the driving **row source**. It produces a set of rows for driving the join condition. The row source can be a table accessed using an index scan or a full table scan.
- The **inner loop** is iterated for every row returned from the outer loop, ideally by an index scan.



Database Management Systems

41




## Hash Joins

- Hash joins are used for joining **large data sets**. The optimizer uses the **smaller** of two tables or data sources to build a **hash table** on the join key in memory. It then scans the larger table, probing the hash table to find the joined rows.
- This method is best used when the smaller table fits in available **memory**. The cost is then limited to a **single read pass** over the data for the two tables.
- The optimizer uses a hash join to join two tables if they are joined using an **equijoin** and if either of the following conditions are true
  - A large **amount** of data needs to be joined
  - A large **fraction** of a small table needs to be joined



Database Management Systems

42



## Hash Joins: Example

```
EMP( Empno, Ename, Job, Mgr,
     Hiredate, Sal, Comm, Deptno)
DEPT( Deptno, Dname, Loc)
SALGRADE( Grade, Losal, Hisal)

SELECT *
FROM EMP E, SALGRADE S
WHERE E.Sal = S.Losal
      AND E.Job = 'RESEARCHER';
```


SELECT STATEMENT

↓

HASH JOIN


↙   ↘

TABLE ACCESS FULL, SALGRADE   TABLE ACCESS FULL, EMP




Database Management Systems

43




## Sort Merge Joins

- Sort merge joins can be used to join rows from two independent sources
- Sort merge joins can perform better than **hash joins** if all of the following conditions exist
  - The row sources are **sorted already**
  - A **sort** operation does not have to be done (e.g., after the **GROUP BY**)
  - A **sort** operation can be performed for the next operation (e.g., before the **GROUP BY**)
- Sort merge joins are useful when the join condition between two tables is an **inequality** condition (but not a non-equality like **<>**) like **<**, **<=**, **>**, or **>=**
- Sort merge joins perform better than **nested loop joins** for **large** data sets
- The join consists of two steps
  - Sort join operation: both the inputs are **sorted** on the join key
  - Merge join operation: the sorted lists are **merged** together



Database Management Systems

44



## Sort Merge Joins: Example

```
EMP( Empno, Ename, Job, Mgr,
      Hiredate, Sal, Comm, Deptno)
DEPT( Deptno, Dname, Loc)
SALGRADE( Grade, Losal, Hisal)

SELECT E.Sal, count(*)
FROM EMP E, SALGRADE S
WHERE E.Sal < 200 and
      E.Sal = S.Losal
GROUP BY E.Sal
HAVING COUNT(*) >2;
```

SELECT STATEMENT

↓

FILTER

↓

SORT, GROUP BY NO SORT

↓

MERGE JOIN


↙      ↘

SORT JOIN      SORT JOIN

↓                      ↓


TABLE ACCESS      TABLE ACCESS

FULL, SALGRADE      FULL, EMP




Database Management Systems

45




## Understanding Statistics

- Optimizer statistics are a collection of data that **describe** more details about the database and the objects in the database
- Optimizer statistics, stored in the **data dictionary**, include the following:
  - Table statistics
    - Number of rows
    - Number of blocks
    - Average row length
  - Column statistics
    - Number of **distinct values** (NDV) in columns
    - Number of **nulls** in columns
    - Data distribution (**histogram**)
  - Index statistics
    - Number of leaf blocks
    - Levels
    - Clustering factor
  - System statistics
    - I/O performance and utilization
    - CPU performance and utilization




Database Management Systems

46




## Statistics on Tables, Indexes and Columns

- To view statistics in the data dictionary, query the appropriate data dictionary view (USER, ALL, or DBA). These DBA\_\* views include the following:
  - **DBA\_TABLES**
  - **DBA\_OBJECT\_TABLES**
  - **DBA\_TAB\_STATISTICS**
  - **DBA\_TAB\_COL\_STATISTICS**
  - **DBA\_TAB\_HISTOGRAMS**
  - **DBA\_INDEXES**
  - **DBA\_IND\_STATISTICS**
  - **DBA\_CLUSTERS**
  - **DBA\_TAB\_PARTITIONS**
  - **DBA\_TAB\_SUBPARTITIONS**
  - **DBA\_IND\_PARTITIONS**
  - **DBA\_IND\_SUBPARTITIONS**
  - **DBA\_PART\_COL\_STATISTICS**
  - **DBA\_PART\_HISTOGRAMS**
  - **DBA\_SUBPART\_COL\_STATISTICS**
  - **DBA\_SUBPART\_HISTOGRAMS**
- `describe table_name` allows to view the table schema




Database Management Systems 47



## Automatic Statistics Gathering

- Optimizer statistics are **automatically gathered** with the job **GATHER\_STATS\_JOB**
- This job is created automatically at database creation time
- By default, the job is run **every night** from 10 P.M. to 6 A.M. and all day on weekends
- Automatic statistics gathering should be sufficient for most
- If database objects are **modified** at a moderate **speed** automatic statistics gathering is the best approach, otherwise it may not be adequate



Database Management Systems 48





## Manual Statistics Gathering

- If the data in database changes regularly, it is necessary to regularly gather statistics (manually) to ensure that the measures **accurately** represent characteristics of your database objects
- Statistics on tables, indexes, individual columns and partitions of tables are gathered using the **DBMS\_STATS package** (i.e., PL/SQL package) which is also used to modify, view, export, import, and delete statistics
- When statistics are generated for a table, column, or index, if the data dictionary already contains statistics for the object, Oracle **updates the existing statistics**
- When statistics are updated for a database object, Oracle **invalidates** any currently parsed SQL statement accessing that object. The next time such a statement executes, the statement is **re-parsed** and the optimizer automatically chooses a new execution plan based on the new statistics



Database Management Systems

49



## When to Gather Statistics

- For an application in which tables are being **incrementally** modified, new statistics need to be gathered every **week** or every **month**
- For tables which are being **substantially** modified in batch operations, such as with bulk loads, statistics should be gathered on those tables as part of the batch operation
- The frequency of collection intervals should **balance** the task of providing **accurate** statistics for the optimizer against the processing **overhead** incurred by the statistics collection process.



Database Management Systems

50



## Column Statistics and Histograms

- When gathering statistics on a table, **DBMS\_STATS** gathers information about the **data distribution** of the columns within the table (e.g., the maximum value and minimum value of the column)
- For **skewed** data distributions, **histograms** can also be created as part of the column statistics to describe the data distribution of a given column



Database Management Systems

51




## Histograms

- Column statistics may be stored as histograms which provide **accurate** estimates of the distribution of column data.
- Histograms provide improved **selectivity** estimates in the presence of data skew, resulting in optimal execution plans with **non-uniform** data distributions
- Oracle uses two types of histograms for column statistics
  - Height-balanced histograms
  - Frequency histograms
- The type of histogram is stored in the **HISTOGRAM** column of the **USER/DBA\_TAB\_COL\_STATISTICS** views



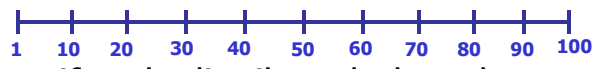
Database Management Systems

52

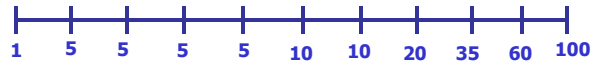



## Height-Balanced Histograms


- In a height-balanced histogram, the column values are divided into **bands** so that each band contains approximately the **same number of rows**.
- The useful information that the histogram provides is where in the range of values the **endpoints** fall.
- Consider a column C with values between 1 and 100 and a histogram with 10 buckets



- If the data is not uniformly distributed, then the histogram might look similar to




Database Management Systems
53



## Height-Balanced Histograms


```
SELECT column_name, num_distinct, num_buckets, histogram
FROM USER_TAB_COL_STATISTICS
WHERE table_name = 'INVENTORIES' AND column_name = 'QUANTITY_ON_HAND';
```


COLUMN_NAME	NUM_DISTINCT	NUM_BUCKETS	HISTOGRAM
QUANTITY_ON_HAND	237	10	HEIGHT BALANCED

```
SELECT endpoint_number, endpoint_value
FROM USER_HISTOGRAMS
WHERE table_name = 'INVENTORIES' and column_name = 'QUANTITY_ON_HAND'
ORDER BY endpoint_number;
```

ENDPOINT_NUMBER	ENDPOINT_VALUE
0	0
1	27
2	42
3	57
4	74
5	98
6	123
7	149
8	175
9	202
10	353


Height-Balanced Histograms



Database Management Systems
54



## Frequency Histograms

- In a frequency histogram, each **value** of the column corresponds to a single **bucket** of the histogram
- Each bucket contains the number of **occurrences** of that single value.
- Frequency histograms are automatically created instead of height-balanced histograms when the number of **distinct values** is less than or equal to the number of histogram **buckets** specified
- Frequency histograms can be viewed using the **\*USER\_HISTOGRAMS** tables


Database Management Systems
55



## Frequency Histograms

```


SELECT column_name, num_distinct, num_buckets, histogram
FROM USER_TAB_COL_STATISTICS
WHERE table_name = 'INVENTORIES' AND column_name = 'WAREHOUSE_ID';
    
```


COLUMN_NAME	NUM_DISTINCT	NUM_BUCKETS	HISTOGRAM
WAREHOUSE_ID	9	9	FREQUENCY

```

SELECT endpoint_number, endpoint_value
FROM USER_HISTOGRAMS
WHERE table_name = 'INVENTORIES' and column_name = 'WAREHOUSE_ID'
ORDER BY endpoint_number;
    
```


ENDPOINT_NUMBER	ENDPOINT_VALUE
36	1
213	2
261	3
370	4
484	5
692	6
798	7
984	8
1112	9


Database Management Systems
56




## Choosing an Optimizer Goal

- Optimization for best **throughput**
  - Optimizer chooses the least amount of resources necessary to process **all rows** accessed by the statement
  - Throughput is more important in **batch** applications (e.g., Oracle Reports applications) because the user is only concerned with the time necessary for the application to complete
- Optimization for best **response time**
  - Optimizer uses the least amount of resources necessary to process the **first row** accessed by a SQL statement.
  - Response time is important in **interactive** applications (e.g., SQL\*Plus queries)



Database Management Systems 57




## OPTIMIZER\_MODE Parameter Values

Value	Description
ALL_ROWS	The optimizer uses a <b>cost-based</b> approach for all SQL statements in the session. It optimizes with a goal of best <b>throughput</b> (minimum resource use to complete the <b>entire statement</b> ). Default.
FIRST_ROWS_n	The optimizer uses a <b>cost-based</b> approach, optimizes with a goal of <b>best response time</b> to return the <b>first n number of rows</b> ; n can equal 1, 10, 100, or 1000
FIRST_ROWS	The optimizer uses a <b>mix of cost and heuristics</b> to find a best plan for fast delivery of the <b>first few rows</b>

- The following SQL statement changes the goal of the query optimizer for the current session to **best response time**

```
ALTER SESSION SET OPTIMIZER_MODE = FIRST_ROWS_1;
```



Database Management Systems 58



## Looking Beyond Execution Plans

- The **execution plan** operation **alone** cannot differentiate between well-tuned statements and those that perform poorly
- For example, an **EXPLAIN PLAN** output that shows that a statement uses an **index** does not necessarily mean that the statement runs efficiently. In this case, you should examine
  - the **columns** of the index being used
  - their **selectivity** (fraction of table being accessed)
- It is best to use **EXPLAIN PLAN** to determine an access plan, and then later prove that it is the optimal plan through testing.