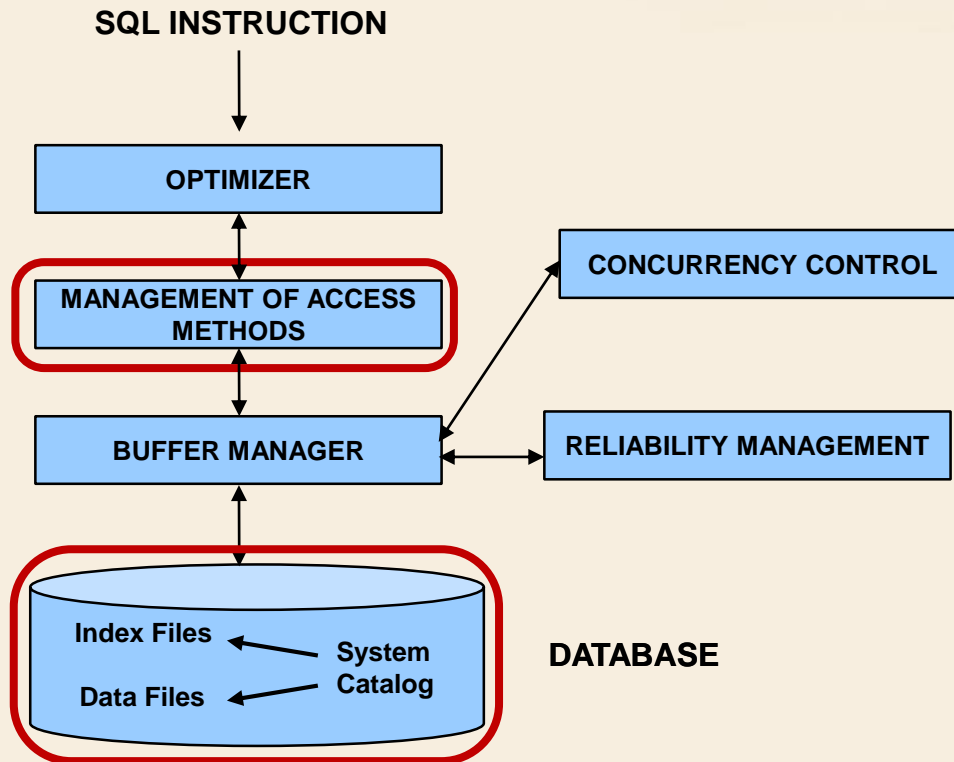




# Database Management Systems

## Physical Access to Data

# DBMS Architecture



# Physical Access Structures

- Data may be stored on disk in different formats to provide efficient query execution
  - Different formats are appropriate for different query needs
- Physical access structures describe how data is stored on disk

# Access Method Manager

- Transforms an access plan generated by the optimizer into a sequence of physical access requests to (database) disk pages
  - It exploits *access methods*
- An access method is a software module
  - It is specialized for a single physical data structure
  - It provides primitives for
    - reading data
    - writing data

## Access method

- Selects the appropriate blocks of a file to be loaded in memory
- Requests them to the Buffer Manager
- Knows the organization of data into a page
  - can find specific tuples and values inside a page

# Organization of a disk page

➤ Different for different access methods

- Divided in

- Space available for data
- Space reserved for access method control information
- Space reserved for file system control information

- Tuples may have varying size
  - Varchar types
  - Presence of Null values
- A single tuple may span several pages
  - When its size is larger than a single page
    - e.g., for BLOB or CLOB data types





# Database Management Systems

## Physical Access Structures



# Physical Access Structures

➤ Physical access structures describe how data is stored on disk to provide efficient query execution

- SQL select, update, ...

➤ In relational systems

- Physical data storage
  - Sequential structures
  - Hash structures
- Indexing to increase access efficiency
  - Tree structures (B-Tree, B<sup>+</sup>-Tree)
  - Unclustered hash index
  - Bitmap index

# Sequential Structures

- Tuples are stored in a given sequential order
- Different types of structures implement different ordering criteria
- Available sequential structures
  - Heap file (entry sequenced)
  - Ordered sequential structure

- Tuples are sequenced in *insertion order*
  - insert is typically an *append* at the end of the file
- *All* the space in a block is completely exploited before starting a new block
- Delete or update may cause wasted space
  - Tuple deletion may leave unused space
  - Updated tuple may not fit if new values have larger size
- Sequential reading/writing is very efficient
- Frequently used in relational DBMS
  - jointly with unclustered (secondary) indices to support search and sort operations

# Ordered sequential structures

- The order in which tuples are written depends on the value of a given key, called *sort key*
  - A sort key may contain one or more attributes
    - the sort key may be the primary key
- Appropriate for
  - Sort and group by operations on the sort key
  - Search operations on the sort key
  - Join operations on the sort key
    - when sorting is used for join

# Ordered sequential structures

## ➤ Problem

- preserving the sort order when inserting new tuples
  - it may also hold for update

## ➤ Solution

- Leaving a percentage of free space in each block during table creation
  - On insertion, dynamic (re)sorting in main memory of tuples into a block

## ➤ Alternative solution

- Overflow file containing tuples which do not fit into the correct block

# Ordered sequential structures

- Typically used with B<sup>+</sup>-Tree clustered (primary) indices
  - the index key is the sort key
- Used by the DBMS to store intermediate operation results



# Tree structures

- Provide “direct” access to data based on the value of a key field
  - The key includes one or more attributes
- It does not constrain the physical position of tuples
- The most widespread in relational DBMS

# General characteristics

➤ One root node

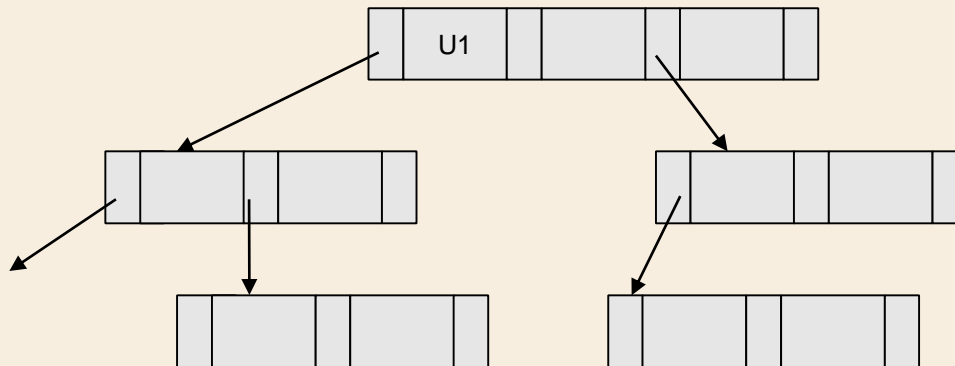
# Tree structure

	U1					
--	----	--	--	--	--	--

# General characteristics

- One root node
- Many intermediate nodes
- Nodes have a large fan-out
  - Each node has many children

# Tree structure

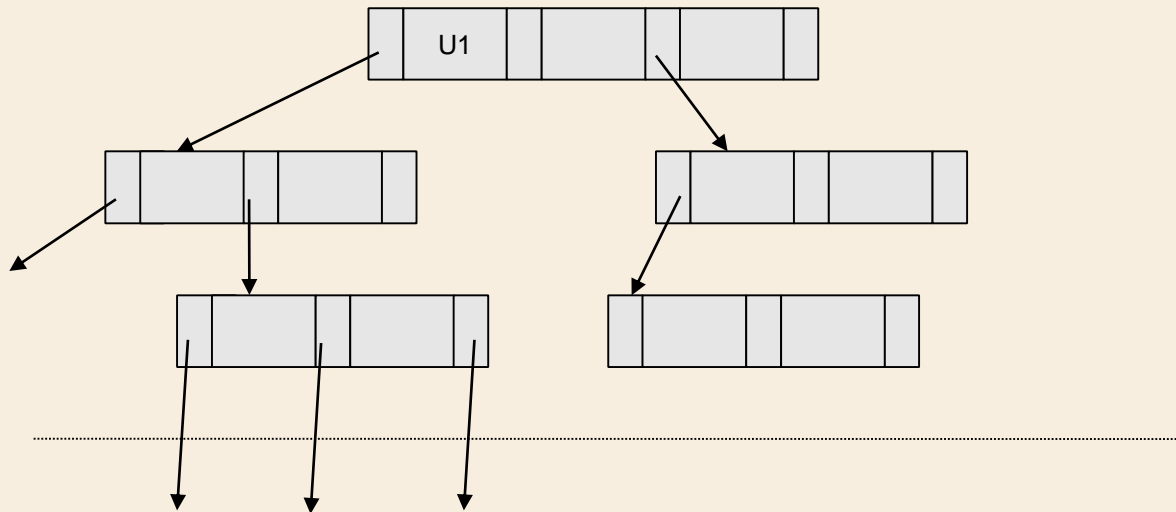


# General characteristics

- One root node
- Many intermediate nodes
- Nodes have a large fan-out
  - Each node has many children
- Leaf nodes provide access to data
  - Clustered
  - Unclustered



# Tree structure



DATA

# B-Tree and B<sup>+</sup>-Tree

## ➤ Two different tree structures for indexing

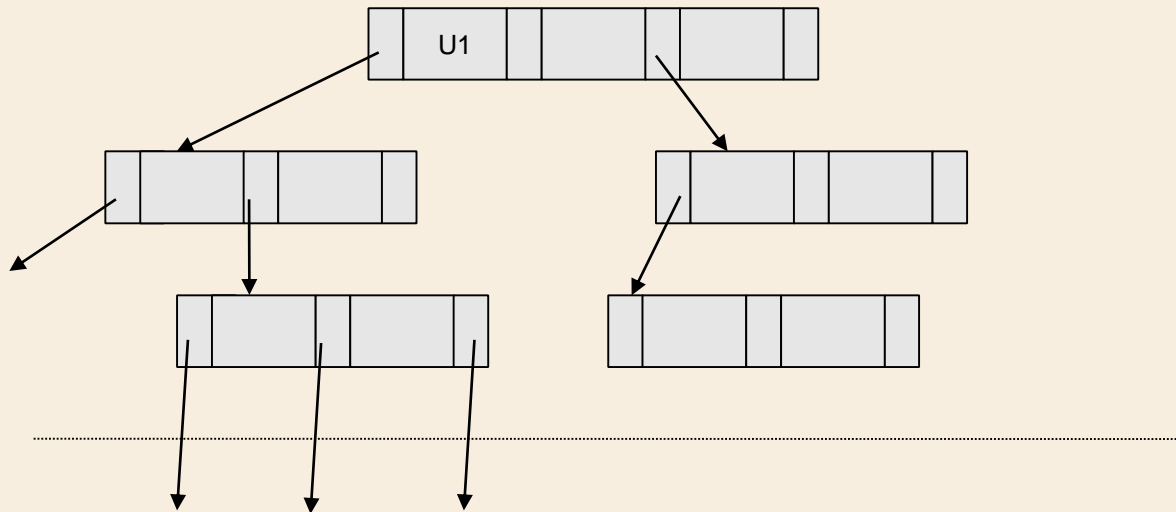
- B-Tree

- Data pages are reached only through key values by visiting the tree

- B<sup>+</sup>-Tree

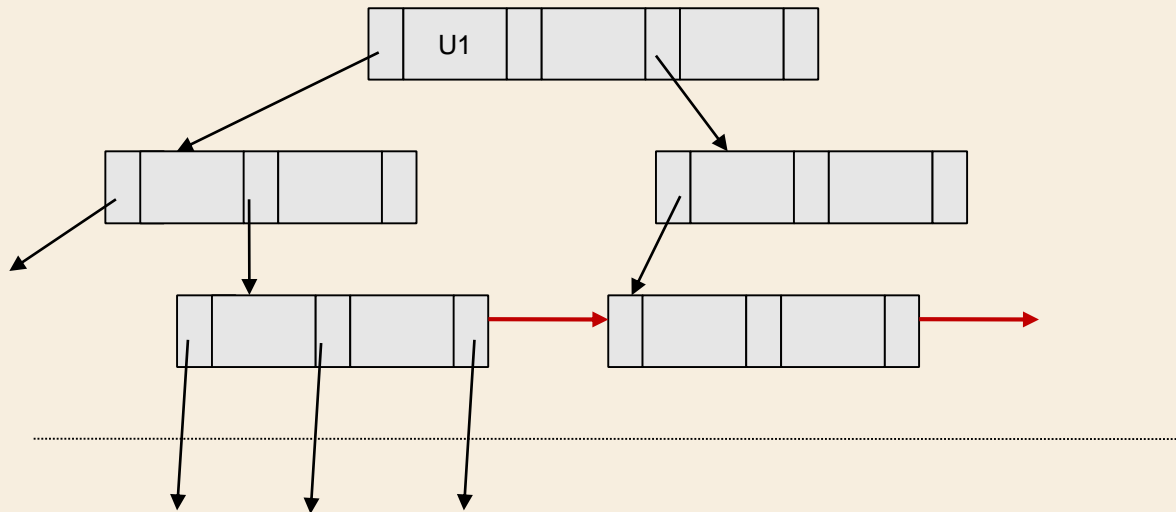
- Provides a link structure allowing sequential access in the sort order of key values

# B-Tree structure



DATA

# B<sup>+</sup>-Tree structure



DATA

# B-Tree and B<sup>+</sup>-Tree

## ➤ Two different tree structures for indexing

- B-Tree

- Data pages are reached only through key values by visiting the tree

- B<sup>+</sup>-Tree

- Provides a link structure allowing sequential access on the sort order of key values

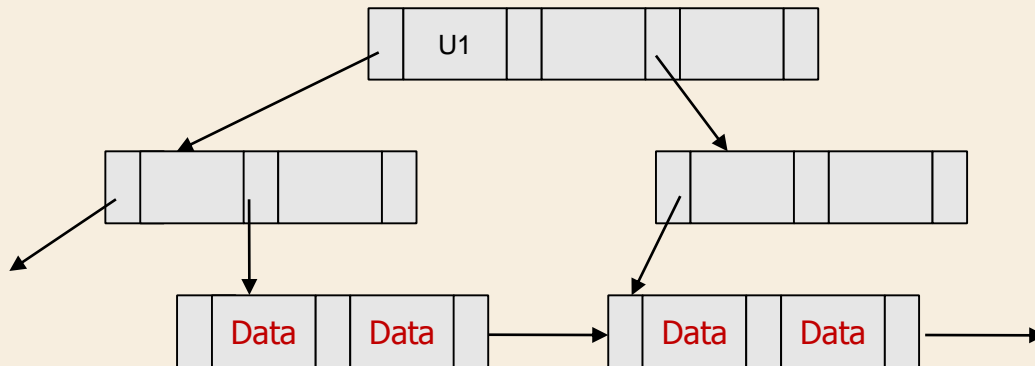
## ➤ B stands for *balanced*

- Leaves are all at the same distance from the root
- Access time is constant, regardless of the searched value

- The tuple is contained into the leaf node
  - Constrains the physical position of tuples in a given leaf node
    - The position may be modified by splitting the node, when it is full
  - Also called key sequenced
- Typically used for primary key indexing



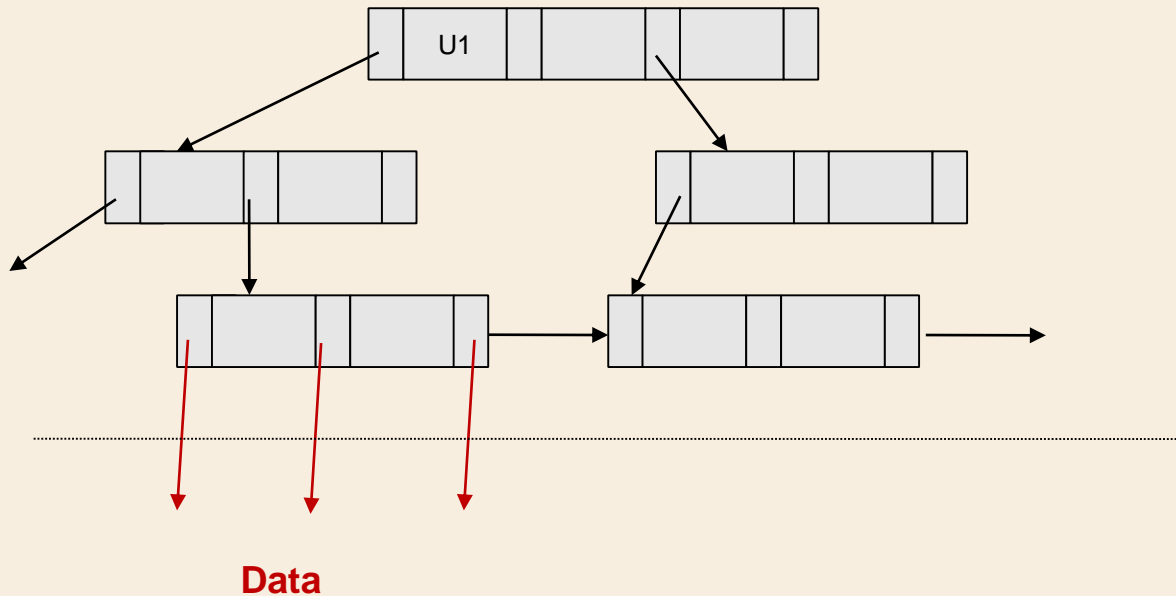
# Clustered B<sup>+</sup>-Tree index



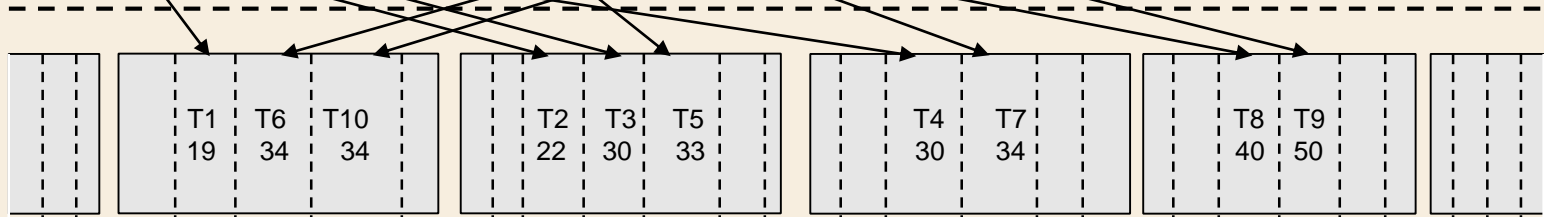
# Unclustered

- The leaf contains physical pointers to actual data
  - The position of tuples in a file is totally unconstrained
  - Also called indirect
- Used for secondary indices

# Unclustered B<sup>+</sup>-Tree index

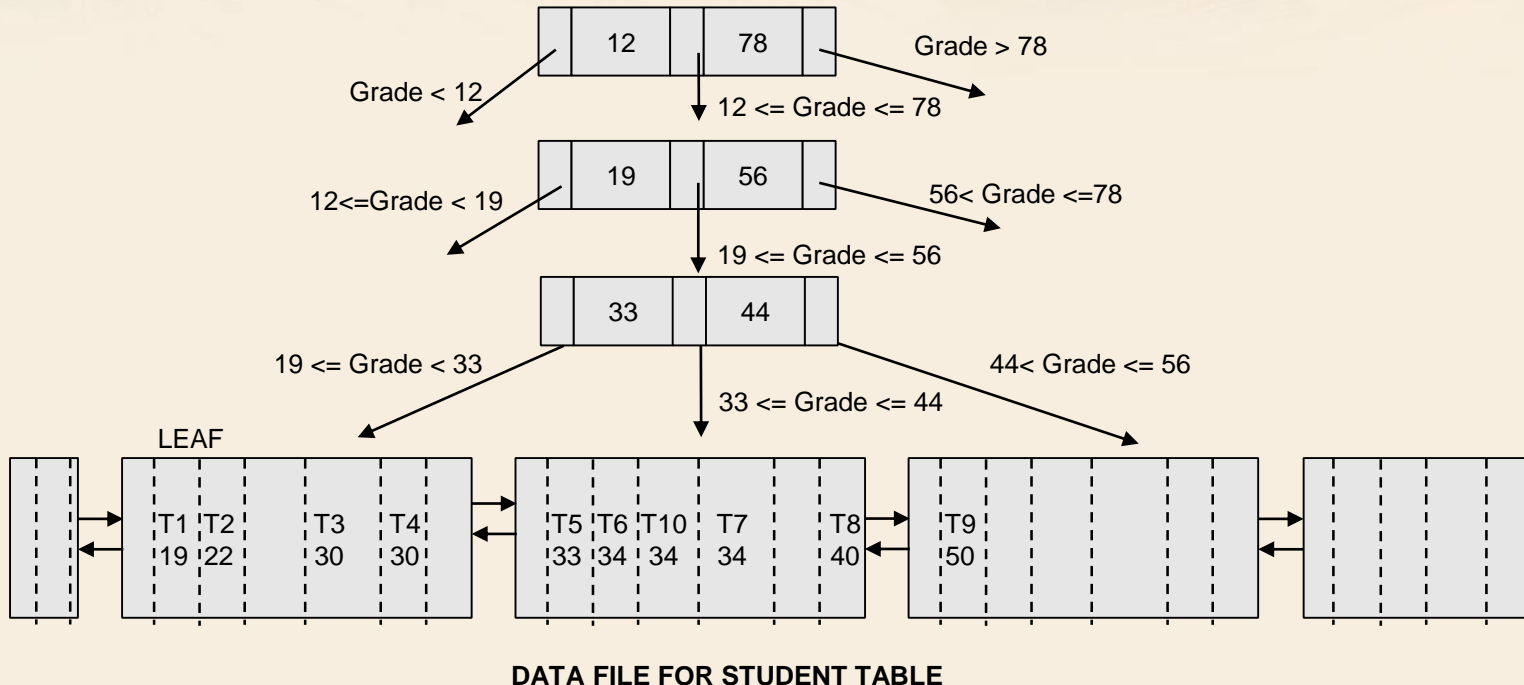


**STUDENT (StudentId, Name, *Grade*)**



# Example: Clustered B<sup>+</sup>-Tree index

STUDENT (StudentId, Name, *Grade*)



DATA FILE FOR STUDENT TABLE

# Advantages and disadvantages

## ➤ Advantages

- Very efficient for range queries
- Appropriate for sequential scan in the order of the key field
  - Always for clustered, not guaranteed otherwise

## ➤ Disadvantages

- Insertions may require a split of a leaf
  - possibly, also of intermediate nodes
  - computationally intensive
- Deletions may require merging uncrowded nodes and re-balancing

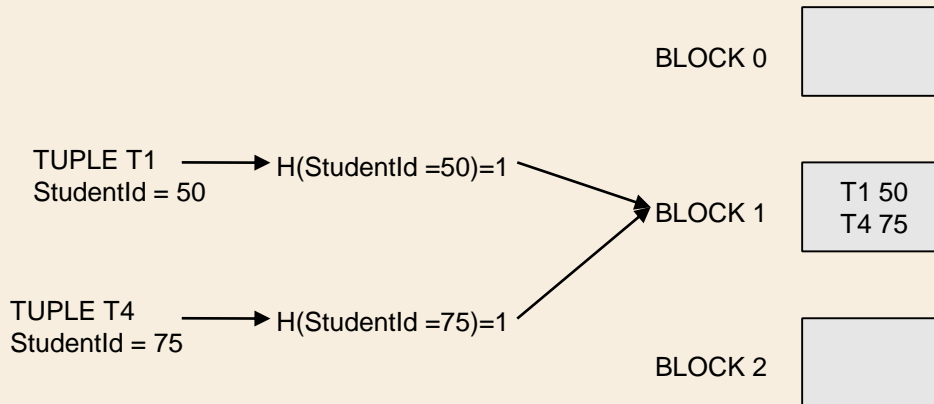


# Hash structure

- It guarantees direct and efficient access to data based on the value of a *key field*
  - The hash key may include one or more attributes
- Suppose the hash structure has B blocks
  - The hash function is applied to the key field value of a record
    - It returns a value between 0 and B-1 which defines the position of the record
  - Blocks should never be completely filled
    - To allow new data insertion

# Example: hash index

STUDENT (StudentId, Name, Grade)



DATA FILE FOR STUDENT TABLE

## ➤ Advantages

- Very efficient for queries with equality predicate on the key
- No sorting of disk blocks is required

## ➤ Disadvantages

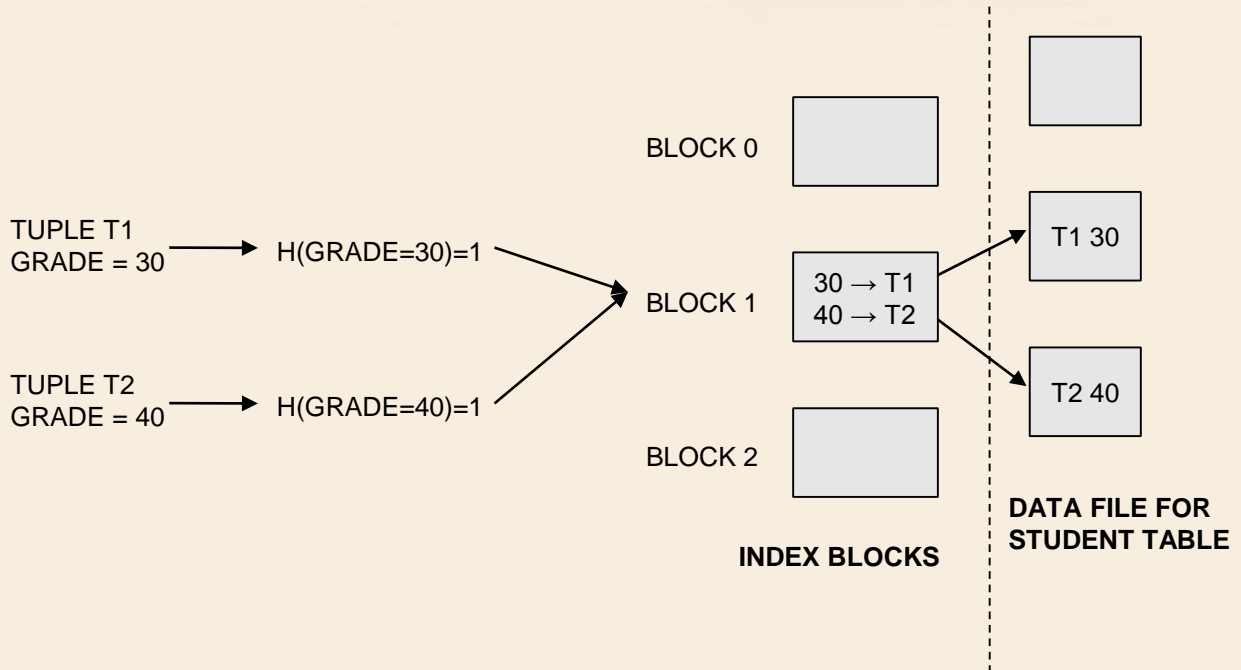
- Inefficient for range queries
- Collisions may occur

# Unclustered hash index

- It guarantees direct and efficient access to data based on the value of a *key field*
  - Similar to hash index
- Blocks contain pointers to data
  - Actual data is stored in a separate structure
  - Position of tuples is not constrained to a block
    - Different from hash index

# Example: Unclustered hash index

STUDENT (StudentId, Name, *Grade*)



# Bitmap index

- It guarantees direct and efficient access to data based on the value of a *key field*
  - It is based on a *bit matrix*
- The bit matrix references data rows by means of RIDs (Row IDentifiers)
  - Actual data is stored in a separate structure
  - Position of tuples is not constrained

# Bitmap index

➤ The bit matrix has

- One column for each different value of the indexed attribute
- One row for each tuple

➤ Position  $(i, j)$  of the matrix is

- 1 if tuple  $i$  takes value  $j$
- 0 otherwise

RID	Val <sub>1</sub>	Val <sub>2</sub>	...	Val <sub>n</sub>
1	0	0	...	1
2	0	0	...	0
3	0	0	...	1
4	1	0	...	0
5	0	1	...	0

# Example: Bitmap index

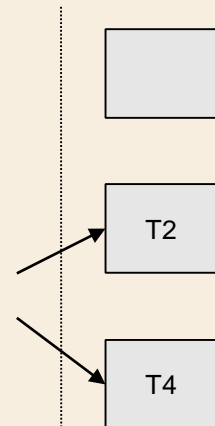
EMPLOYEE (EmployeeId, Name, **Job**)

Domain of Job attribute = {Engineer, Consultant, Manager, Programmer, Secretary, Accountant}

RID	Eng.	Cons.	Man.	Prog.	Secr.	Acc.
1	0	0	1	0	0	0
2	0	0	0	1	0	0
3	0	0	0	0	1	0
4	0	0	0	1	0	0
5	1	0	0	0	0	0



Prog.
0
1
0
1
0



DATA FILE  
FOR EMPLOYEE  
TABLE



## ➤ Advantages

- Very efficient for boolean expressions of predicates
  - Reduced to bit operations on bitmaps
- Appropriate for attributes with limited domain cardinality

## ➤ Disadvantages

- Not used for continuous attributes
- Required space grows significantly with domain cardinality